UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF OHIO WESTERN DIVISION

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Harry G. Beyoglides, Jr., Special Administrator of the Estate of Robert Andrew Richardson, Sr., Deceased, Plaintiff,

vs.

Case No. 3:14-CV-00158

Phil Plummer/Montgomery County Sheriff, et al., Defendants

_ _ -

DEPOSITION OF BRIAN LEWIS

the Defendant herein, called by the Plaintiff under the applicable Rules of Civil Procedure, taken before me, Whitney Layne, a Notary Public for the State of Ohio, at the law firm of Dinkler & Pregon, 5335 Far Hills Avenue, Suite 117, Dayton, Ohio 45429 on November 17, 2015 at 2:45 p.m.

LAYNE & ASSOCIATES 6723 COOPERSTONE DRIVE DUBLIN, OHIO 43017 614-309-1669

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1 2	APPEARANCES	1	EXAMINATION INDEX
	NICHOLAS DICELLO, ESQUIRE	2	
3	SPANGENBERG, SHIBLEY & LIBER 1001 Lakeside Avenue		BRIAN LEWIS
4	Suite 1700	3	
_	Cleveland, Ohio 44114	4	BY MR. DICELLOPage 5
5 6	on behalf of the Plaintiff	5	•
O	JAMEY PREGON, ESQUIRE	6	
7	DINKLER & PREGON	7	
8	5335 Far Hills Avenue Suite 123	8	
Ü	Dayton, Ohio 45429		
9 10	on behalf of the Sheriff Defendants	9	
10	CARRIE STARTS, ESQUIRE	10	
11	REMINGER CO., LPA	11	
12	525 Vine Street Suite 1700	12	
12	Cincinnati, Ohio 45202	13	
13	on behalf of the Defendants	14	
14	NaphCare, Inc., Nurse Felicia Foster, Nurse Jon Boehringer, Nurse Krisandra	15	
	Miles, Medic Steven Stockhauser,	16	
15	and Brenda Garrett Ellis, M.D.	17	
16 17	ANNE M. JAGIELSKI, ESQUIRE	18	
	ASSISTANT PROSECUTING ATTORNEY		
18	301 West Third Street 4th Floor	19	
19	Dayton, Ohio 45422	20	
	on behalf of the Defendant	21	
20 21	Montgomery County Sheriff's Office	22	
22		23	
23		24	
24			
	Page 2		Page 4
1	November 17, 2015	1	BRIAN LEWIS
_	Tuesday Session	2	
2	2:45 p.m.		Being first duly sworn, as hereinafter
3		3	certified, deposes and says as follows:
	STIPULATIONS	4	CROSS-EXAMINTION
4		5	BY MR. DICELLO:
5	It is stipulated by and among counsel for the	6	Q Good afternoon, Sergeant. Can you please state
5	respective parties that the deposition of BRIAN LEWIS, the Defendant herein, called by the Plaintiff under the	7	your name for the court reporter?
6	applicable Rules of Civil Procedure, may be taken at this	8	A Yeah. It's Brian M. Lewis. It's L-E-W-I-S.
	time by the notary Whitney Layne; that said deposition may	9	
7	be reduced to writing in stenotypy by the notary, whose		Q Sergeant, we've had a chance to meet off the
	notes thereafter may be transcribed out of the presence of	10	record. My name is Nick DiCello. I'm here to take your
8	the witness; and that the proof of the official character	11	deposition today in connection with a lawsuit that's been
0	and qualification of the notary is waived.	12	filed against yourself and others and the Montgomery
9 10		13	County Sheriff's Office on behalf of Robert Richardson and
11		14	his family members. Do you understand that?
12		15	A Yes, sir.
13		16	
14			Q As you probably know, I represent
15		17	Mr. Richardson's estate and his family. And I'm here to
16		18	ask you questions in connection with that lawsuit.
17 18		19	A Yes, sir.
19		20	Q Do you understand?
20		21	A Yes, sir.
21		22	Q Whitney is taking everything we say down, so
22			
23		23	it's important that we not speak over one another, okay?
24		24	A Yes, sir.
	Page 3		Page 5
	rage 3	1	rage 3

1 Q And it's important that you continue to give 1 A Yes, I have. 2 2 Q Anything that I'm missing that you might have audible responses; yes, no, or words, as opposed to shrugs 3 and uh-huhs and huh-uhs. Somebody at the table may remind 3 reviewed? For example, did you have any handwritten notes 4 you of that rule. It's not to be rude, it's just to get a 4 or anything? 5 5 good record, okay? A No, I did not. A Lunderstand. Yep. 6 6 Q Did you review any e-mails or anything like 7 Q Have you ever been deposed before? that? I'm not interested in anything that has to do 8 A I have not 8 between you and your lawyers. But any e-mails or anything 9 Q So I'll ask the questions, you provide the 9 like that that you looked at? 10 answers, okay? 10 A There are no e-mails with regards to this 11 A Yes, sir. 11 incident, regarding as far as me. 12 Q If you don't understand a question that I've 12 Q Did you speak with anybody other than your 13 asked, I want you to tell me that, okay? 13 lawyers to refresh your recollection about what happened 14 14 before you came in to give sworn testimony? 15 15 Q Given that request and understanding, if you A I did not. Q Is there any reason why you didn't speak to the 16 answer a question that I've asked you, I'm going to assume 16 17 you understood it. Is that fair? 17 other officers that were involved? 18 18 A That's fair. A The report pretty much speaks for my 19 Q If you want to take a break at any time for any 19 interactions as far as with Mr. Richardson, so I didn't 20 20 reason, we can do that, or for no reason. I would just feel the need. 21 ask that if a question is pending, answer the question and 21 Q Let me ask a little bit of background. It's 22 then say, "Hey, Nick, let's take a break." Okay? 22 not to pry, I promise, even though it probably feels like 23 A Yes sir 23 it is. 24 24 Q Do you understand that you're under oath today? A I'm not worried about it Page 6 Page 8 1 All right. A I do. Q 2 Q Do you understand that the oath you're under 2 Α Shoot. 3 3 today is the same kind of oath that you'll be under at Are you from this area, Sergeant? 4 trial in front of the jury and the judge in this case? 4 Yes, I am. 5 5 MR. DICELLO: Off the record A Yes, sir. Q And do you understand that I'm going to be 6 (Discussion held off the record.) 6 7 7 relying on the accuracy of your answers today in BY MR DICFLLO: 8 connection with this lawsuit? 8 Q How long have you been a corrections officer? 9 9 A Yes, sir. A I've been a police officer almost 18 years now, 10 Q Sergeant, what did you do, if anything, to 10 almost. So 17, almost 17 -- about 17 and a half years. 11 prepare for the deposition today? 11 Q So when you say you've been a police officer, 12 Read through my report. 12 is that in your mind different than a corrections officer? 13 Any other documents that you looked at? 13 A Yeah. I've never been a corrections officer. I glanced through the paperwork for the 14 14 I've always been a sworn police officer. 15 lawsuit, went through some of my training, things like 15 Q So explain that to me, why it is that you were 16 that. 16 working at the jail then. 17 Q Did you review the ISU report at all? 17 A Well, the way the Montgomery County Sheriff's 18 Α Yeah, oh, yeah. 18 Office is designed, when you -- in some cases, some 19 Q Okay. 19 personnel that come up through the ranks of the sheriff's 20 I mean, I reviewed that several times 20 office start out as a civilian employee, whether it be a 21 dispatcher, or a corrections officer, or even maybe a 21 22 Q Did you review the video footage at all? 22 clerk within the sheriff's office, and then they decide to 23 Of the jail incident? 23 take that step maybe and go through their OPOTA 24 Yes. 24 certification, whether it be through a full-time police Page 7 Page 9

academy or part-time through Sinclair, and then they would 1 Over ten years. 1 2 2 become the rank of deputy and work their way up as Q And then tell me what the next step was in your 3 3 sergeant. career 4 In my case, I'm not a homegrown employee of the 4 A During that timeframe, I was working for 5 5 sheriff's office. I came from an outside agency where I Moraine Fire Department. We worked 24-hour shifts there. 6 6 had already been a sworn police officer, and then I was a I had already tested for the City of Dayton Police 7 7 deputy, sworn in as a deputy with Montgomery County Department years prior to that. Received a phone call 8 Sheriff's Office, and then went through different 8 basically asking me if I still wanted to be a police 9 positions through the sheriff's office, detective, patrol, 9 officer, which started then the process of me going 10 and then took the sergeant's promotional exam. 10 through my final steps to become a police officer. And 11 11 then I graduated from the Dayton Police Academy July 3rd When you take the sergeant's promotional exam, 12 you go to the slots that are available within the agency. 12 13 13 In some cases, if you're lucky, there will be a road Q How long did you work as a Dayton police 14 14 officer? position. Those are very rare that those are open. 15 Usually, you have to wait until that seniority, kind of 15 A Just shy of seven years. Q So it was from 1998 to --16 pecking order, gets to you. 16 17 17 So in my case, I had the option to go to Yeah, until April -- late April of 2005. 18 18 wherever the opening was. I initially went to the What happened in late April of '05? 19 19 Regional Dispatch Center as a line supervisor working a Sheriff's office talked me into coming over to 20 20 the sheriff's office. shift. And then I transferred to the Jail Division where 21 I had worked for -- not -- it wasn't a year. I was 21 Q So you went through whatever administrative 22 2.2 process you have to, I'm not all that interested in that, assigned to the Jail Division for a year. And within that 2.3 23 but -year's timeframe, I was a sworn sergeant working assigned 2.4 to the Jail Division when this incident occurred. 24 A Yes, sir. Page 10 Page 12 1 Q When did you first become assigned to the 1 Q Let me break that down a little bit and put a 2 time line on it. 2 **Montgomery County Jail?** A If I need to slow down, please tell me. I talk 3 3 A I got promoted in March of 2011. I believe I'm 4 correct on that date. And then I did approximately eight 4 fast. I apologize. 5 5 months down at the Regional Dispatch Center as a shift Q Yeah, thank you for that. We'll let you know. Let me start this way. When did you graduate 6 6 supervisor. 7 7 high school? Q Promoted to sergeant? 8 8 A 1992. A Yes, sir. And then sometime right around the 9 9 Q And did you go to college or did you go to holidays, Christmastime, is when I transferred to the Jail 10 10 Division because there was an open position. 11 A I went to college for a very short period of 11 Q So this would be around December of 2011? 12 time at Sinclair Community College for criminal justice. 12 Yes, sir. 13 Q When did you stop attending Sinclair? 13 Q That was the first time that you started 14 A I went to just -- It's either a quarter -- It 14 working in the jail? 15 was at least a quarter, but I can't remember if I went a 15 That is correct. 16 Had you worked in any jails ever before this? 16 second quarter or not. 17 Q So sometime in 1992 or '93 you then entered the 17 18 18 workforce. What did you do? So as of the date of the incident, and we think 19 A I was a firefighter EMT. 19 we've figured out that it was Saturday, May 19th, 2012, is 20 20 Q Where? that consistent with your recollection --21 A Several places. Butler Township Fire 21 Α 22 Department, Harrison Township Fire Department, City of 22 -- and your review of the reports? 23 Moraine Fire Department. Yeah. I found one date error in there, but --24 Q How long did you do that, firefighter EMT? 24 Just jumped out at me. Page 11 Page 13

1 A Yes 1 would be better utilized with my background in that 2 Q Okay. 2 position more than, for lack of a better word, jail 3 3 A I saw the 20th listed on there. Yep. turning keys and helping supervisors there. 4 Q All right. So Saturday, May 19th, 2012, you 4 Q Okay. That makes sense. 5 had been working in a jail for about six months; is that 5 So let's focus on your experience at the jail. 6 fair? 6 On Saturday, May 19th, 2012, it's my understanding you 7 7 A That's fair. were a booking sergeant? 8 Q So let's -- Before we talk about the incident, 8 A That's correct. 9 let's move past that. How -- Do you still work at the 9 Can you tell me what a booking sergeant's job 10 jail? 10 responsibilities are? 11 11 A Yeah. It would be operations of the -- of the A I do not. 12 Q When did you stop working at the jail? 12 jail, day-to-day operations of that shift, jail, incoming 13 A In -- Must be a holiday thing with me. Right 13 and outgoing inmates, people that are just being processed 14 around the holidays of 2012, Christmastime, I came back to 14 in on the floor. I also deal with -- deal with any 15 the Dispatch Center as the administrative sergeant for the 15 scheduling type of conflicts, issues, overtime. Pretty 16 Dispatch Center. In a nutshell, helping the captain run 16 much oversight of pretty much everything that is going on 17 the entire organization of the Dispatch Center. 17 there at that point. 18 18 Q So your jail experience here in Montgomery Q And what watch were you working that day; do 19 County was about a year, from December of '11 to December 19 vou remember? 20 of '12? 20 A On that day, I was working -- I worked a 21 A That is correct. 21 16-hour shift that day. I believe I came in for a trade 22 22 Q And that would also include your jail earlier in the day on second watch, and then I went into 23 experience over the course of your law enforcement career? 23 my normal watch on third watch, which would be that 15:00 24 2.4 A Yes, sir, hours -- 15:15 to 23:45 hours. Page 14 Page 16 Q So tell me when was it you arrived at the jail 1 Q What's your current position? 2 A Administrative sergeant at the Regional 2 on the 19th and when did you leave? 3 3 A Well, I arrived prior to my shift. So I don't Dispatch Center. 4 Q At the Dispatch Center? 4 know what time I arrived, whether it be 06:30. I always 5 5 arrive early for my shift, because there's pass-ons and Yes, sir. 6 6 What were the circumstances surrounding you things like that that happen from the shift that you're 7 leaving the assignment at the jail? relieving, from their supervisors. So a guesstimation is 8 8 A Because the administrative sergeant position about 06:30 hours. 9 9 had came open at the Dispatch Center. We dispatch for Q 6:30 in the morning? 10 10 nine fire departments and 16 law enforcement areas for the A Yeah, is when I arrived. And then I went home 11 Dispatch Center. I have a distinct knowledge of not only 11 from my shift probably somewhere at the end of the 12 the fire aspects, because we dispatch for fire departments 12 conclusion of my shift, around 23:45 to midnight, 13 and police departments, so because of my background with 13 somewhere theresabout. 14 fire and EMS, and also with some of the agencies that we 14 And I say that not knowing whether I, if we 15 actually interact with on a day-in-and-day-out basis, and 15 have enough staffing, supervisors, I wouldn't be the only 16 then also my experience with the Dayton Police Department, 16 supervisor working that shift. There could be upwards of 17 I wanted to go back there, because that job is a customer 17 three supervisors working. And, I don't know, I didn't 18 service type of position, a policy/procedure type of 18 check my records to see if I comp'd off early or 19 position, where we interact with the mayor, the city 19 vacationed early or anything like that. But I could have. 2.0 manager, police department's police chiefs, fire chiefs, 20 Q Can you give me an idea as a booking sergeant 21 who govern the rules and regulations of our agency, that 21 as to back in May of 2012 how many corrections officers 22 Dispatch Center itself. 22 you were supervising? And if you want to break that down 2.3 23 into directly supervising, or indirectly, then let me 24 So because of that experience, I knew that I 2.4 know. Page 15 Page 17

1 A Probably working -- And this is just a guess. 1 freedoms, yes. 2 2 I am a little rusty with the numbers there, and they have Q I guess what I'm getting at are the folks on D 3 changed a little bit since I've been gone. 3 Pod, according to some discretion, I presume, of the 4 4 Q Sure. Yeah. classifications officer, are folks that are not thought to 5 5 A Anywhere from 19 to probably about 25 be at high risk of being violent criminals; correct? 6 6 corrections officers were working, somewhere theresabout. A They're not -- They're not -- They're not as 7 Q Reporting to you? likely to create problems for us. 8 8 A They're reporting to all of us, I mean, to Q I didn't get your height and weight, Officer. 9 however many supervisors we have working. There are 9 I've been asking everyone that. 10 different situations that can be handled by a different 10 I'm a very tall five-eight on the button. 11 supervisor. If there's a housing issue, there's a housing 11 Okay. 12 sergeant working. If it's a maintenance issue that needs 12 And I weigh 175. 13 to be overseen by a supervisor, something approved, then 13 Is that about your same weight back in May of 14 there's a detail sergeant if there's one working that 14 2012? 15 night. So there are different things can be handled by 15 No, I was probably a lot skinnier then. 16 the other supervisors. But they can come to any one of us 16 MR. PREGON: That's the trend today. 17 for any of those reasons. 17 BY MR. DICELLO: 18 Q It's my understanding that Mr. Richardson, 18 Q It's not that long ago, guys. 19 19 Robert Richardson, was housed on the D Pod; is that right? A Yeah, well, a little bit of stress with how 20 A Yes, sir. 20 many people I report to. I add a little bit of weight 21 Q And I had the opportunity to depose a couple of 21 every now and then. 22 2.2 your fellow officers earlier today, and one of them, I Q Okay. 23 can't remember who, explained to me that the D Pod, when 23 A I was probably about 165 pounds then. I went 24 it comes to classification of detainees. D Pod has 24 through a little skinny -- little skinny period back then Page 18 Page 20 1 where everybody was wondering if I was sick. 1 detainees who don't have violent criminal histories: 2 correct? 2 Q Independent of your review of the records, do 3 3 A Well, our most -- I mean. I think it's all in you have a memory of this incident? 4 4 Yeah, fairly good. Yeah, fairly good. perspective. I mean, I don't go through every jail jacket 5 of where everybody is housed when they're housed as a 5 Prior to May 19th, 2012, had you ever to your classification. Detail or the classification officer 6 6 knowledge encountered Robert Richardson --7 7 oversees for people that are -- that are high risk, they No, huh-uh. 8 8 go to a different, to an old -- to the old side, to the Ad -- in the jail or out of the jail? 9 9 Seg, but those are extremely high risk inmates. To put a A No, I don't believe I had. 1.0 10 blanket statement, I guess, saying that they're not Q As of the time that you responded to the call, 11 violent, I don't know that I can say 100 percent that 11 and we'll talk about that, did you know why Robert 12 they've never had a violent assault incident. Because you 12 Richardson was in jail? 13 can have an incident where you've had an assault, but over 13 A I did not. 14 a length of time you've shown good behavior. Maybe you're 14 Q Being that he was on D Pod when you responded, 15 a repeat offender, let's say for example a petty theft, 15 did you have an understanding that he wasn't in the jail 16 you've been in and out of jail for petty theft type 16 as a result of a violent crime? 17 incidents, and you had that one incident back in 1998 17 A The pod assignment outside of B, Bravo Pod, 18 where you got into an altercation with another inmate. 18 which was our inmate workers, doesn't distinguish anything 19 You may be on restrictions for where you're housed at for 19 for me as far as whether a person could potentially be 20 an amount of time to be determined by classification. And 20 violent. I have to go into every situation, to every pod, 21 then you're -- And then once your behavior is shown to be 21 and assume that there could be that potential homicidal --2.2 in all the other subsequent housings. So that could 22 again, they're in pods, so they're less likely to cause 23 change and then put you into a -- what would be considered 23 problems in all the pods, and Bravo Pod being the least 24 a better housing type of situation where it gives you more 24 likely, because they're the workers in the jail, so they Page 19

have the most freedom because they've passed those checks 1 don't even know how many corrections officers we have 1 2 2 and those processes to become those workers to have some assigned to the jail. Ten percent. 3 3 movement throughout the jail to be trusted. Delta Pod, Q Now, what percentage of the detainees at the 4 we've had, and I can't speak for necessary Delta Pod, and 4 jail are black? 5 5 it could have been Delta Pod, where we can put inmates A I have no idea. I've never done any studies to 6 that have issues, because we can lock them down into that 6 even consider that, to think about that. 7 pod by themselves, into that cell, and not house them with Q Based on your experience, do you think it's 8 a bunkee. And then when everybody has their recs and 8 more than 50 percent? 9 9 MR. PREGON: Objection. things like that, what they would normally have, then we 10 can shut that pod down for that hour, let that person by 10 A I really have no idea. 11 themselves, and still have better freedoms than they would 11 BY MR. DICELLO: 12 have maybe in the old side of the jail where that Ad Seg 12 Q Okay. 13 area is where we have people in their yellow jumpsuits 13 Yeah. I mean, there's --14 and, you know, they're high risk and every movement is a 14 Q It's a lot more than ten percent, isn't it? 15 cuff movement and things of that nature. 15 A Oh, it's -- I don't know that it would be -- I Q Okay. So as of May of 2012, is it fair to say think you would be splitting hairs. Yeah, I don't know 16 16 17 that most of the corrections officers working at the jail 17 that it's that different. I mean, I think perception 18 18 were white? probably in society is that it's probably a lot different. 19 19 A Yeah, that's a safe assumption. No different than the perception is that the Montgomery 20 20 Q Were there any black corrections officers County Jail is probably a small jail, but it houses almost 21 working at the jail? 21 a thousand people. So you really don't realize how big it 22 A That day? 2.2 is until you get into the inner workings of the jail. I 23 Q Let's start in May of 2012. 23 think that it is not as high as you would think it is. 2.4 24 Oh, yeah. But I couldn't put a number on it. I have no idea. Page 22 Page 24 1 1 Q Let me maybe ask this: Do you think that the Q How many? 2 A I have no idea. I mean --2 population inside the Montgomery jail in terms of African 3 O Let me ask this. American, black, Asian, that kind of thing, is more A I can think of -- I mean, I can think of 4 reflective of the population in the general community here 4 5 5 in this area? several just right off the top of my head. But I have no 6 MR. PREGON: Objection. idea what the number is. 6 7 7 Q Some people say "several" and they think ten, Go ahead. 8 8 20. Other people think two, three. A I think it's a fluctuation. It's a day-to-day, 9 9 A No, I think of several as less than ten right month-to-month, week-to-week fluctuation. Those numbers 10 10 now off the top of my head that I can think of. And some are diverse and change. I mean, I patrolled west end 11 of them didn't work for me, so I don't necessarily know 11 operations and east end operations where most people's 12 their names. We do -- We have a turnover, a high turnover 12 perception is west end is going to be your African 13 rate, because people get into that job and they want to 13 American black community and east is going to be your 14 maybe be -- get into public service, whether it be in the 14 white community. So what interactions of crimes, I don't 15 15 even know how you would put a number on that. I really law enforcement end, fire. So there is a continual wheel 16 16 where they're -- we're hiring new people. So what it was truly don't 17 17 BY MR. DICELLO: then to where it is now, I have no idea. But I would say 18 18 definitely when I say "several," I'm like seven to ten, Q As a police officer, you have taken an oath; 19 somewhere, that I can just think of off the top of my 19 20 head. 20 21 21 Q Is there an oath that's given to folks that Q Do you have any idea what the percentage would be white corrections officers versus black corrections 22 22 become sworn deputies? 23 officers back in May of 2012? 23 A It's the same -- They take the same oath to be 24 A Well, if I was just going to do the round -- I 2.4 a police officer. An oath for a deputy, that's just a Page 23 Page 25

term. We're deputies. We're still police officers in the 1 So he's afforded -- other than the fact of freedom at that 2 2 point, is the big one that is missing, he has limited eyes of the state of Ohio. So it's the same oath. 3 3 Q And when you took that oath, you swore to freedoms inside of a correctional facility. But his --4 4 protect the constitutional rights of the members of this his rights would be the same as mine. 5 5 BY MR. DICELLO: community; correct? 6 6 A I did. Q I'm not trying to be difficult, Sergeant. But 7 Q What constitutional rights have you sworn to can you name what those constitutional rights are that he 8 8 uphold? had that you were sworn to uphold and protect? 9 MR. PREGON: Objection. 9 MR. PREGON: Objection. 10 A I don't have that oath memorized, other than 10 A I mean, if you're asking me freedom of speech 11 and all that, yeah, I don't -- I don't have everything, I 11 just the general common sense, you know, protect the 12 lives, the property, you know, of others, you know, and 12 mean, memorized, the Constitution memorized. But it's 13 freedom of speech and things of that nature, if that's 13 you do that in a courteous, you know -- and those aren't 14 -- I know those aren't the words. But that's just common 14 what you're asking. 15 15 BY MR. DICELLO: sense. 16 BY MR. DICELLO: 16 Q He had a right to be free from excessive force? 17 17 MR. PREGON: Objection. Q I'm not trying to ask word-for-word of the 18 18 oath, but it's my understanding that the oath says that A Of course. Everybody does. 19 19 you will uphold and protect the Constitution of Ohio and BY MR. DICELLO: 20 of the United States; correct? 20 Q He had a right to prompt and adequate medical 21 A Uh-huh 21 care? 22 O Yes? 22 A Yes, he did. 23 O And while Mr. Richardson was detained at the 23 A Yes 2.4 2.4 Montgomery County Jail, he was in the care, custody and Q You have to say yes. Page 26 Page 28 control of the sheriff's office; true? And you understand that when you took that oath 1 2 you were taking on a duty to protect people's 3 3 constitutional rights; correct? Q So back on May 19th, 2012, you've told us you 4 MR. PREGON: Objection. 4 were a booking sergeant. You've explained that -- what 5 A Yes 5 that involves to me. And I've reviewed your statement, BY MR DICELLO: 6 6 your narrative, and you should feel free to reference it 7 Q And that includes people in the jail; right? 7 while we're going through this, Officer. But it's my 8 8 understanding that you responded to an unknown medical That's correct. 9 9 And that includes Robert Richardson; correct? emergency; is that correct? 10 10 Correct A Yes, sir 11 Q So one of your jobs on May 19th, 2012 was to 11 Q So whatever was broadcast over -- they were 12 protect all of Robert Richardson's constitutional rights; 12 hand-held radios; do I have that right? 13 13 A Yeah, everybody has a -- has a portable, for 14 A True. 14 the jail, and then it's controlled by security control, 15 MR. PREGON: Objection. 15 which is basically the dispatch center for the Montgomery 16 BY MR. DICELLO: 16 17 17 Q So whatever it was that was broadcast across Q And I know you've said, yeah, I have to protect 18 18 property and the lives of people. But with respect to the the portable radios that you were responding to, you 19 constitutional rights that Robert Richardson was entitled 19 understood it involved some kind of medical emergency; 20 to that it was your job to protect, can you name any of 20 correct? 21 21 A Some kind of unknown medical, yes. those? 22 2.2 MR. PREGON: Objection. Q Now, when you arrived, if I have it right, I'm 23 23 A He is afforded all the same rights that anybody asking you, but when you arrived to cell 544 located on 24 is, whether he's an inmate or not. That doesn't change. 2.4 the second level of the Delta Pod, Officer Dustin Johnson, Page 27

Trainee Officer Henning, and Sergeant Jackson were already 1 level on Delta Pod, within 15 to 20 seconds we had him 2 2 secured. Keep in mind they were already dealing with on scene; is that correct? 3 A That's correct. 3 Mr. Richardson before I had arrived on the scene. So that 4 4 Q And you write in your report that they were could very well be accurate. 5 attempting to secure Inmate Robert Richardson in 5 Q So in terms of getting Mr. Richardson secured 6 6 handcuffs; is that your memory? after you arrived, between you, Officer Stumpff, Officer A It is. Henning, Sergeant Jackson, and Officer Johnson, you were 8 8 Q What position was Mr. Richardson in when you able to get him cuffed up within 15 to 20 seconds; 9 first responded and laid eyes on him? 9 correct? 10 A Facedown 10 A Once I arrived on the scene, approximately, 11 Q Outside of the cell? 11 12 Just outside of his doorway of 544. 12 Q And you said, I think you just told me, that it 13 Q You responded to 544 with Officer Stumpff, or 13 took about 15, 20 seconds for the five of you to get this 14 did Officer Stumpff arrive when you arrived? 14 inmate secured; right? 15 A Yeah, we were right there together. I don't 15 A From the time that I arrived at the upper know whether he made it to the door before me or -- yeah. 16 16 level, yes. 17 I'm fairly certain he was coming through the door right 17 Q All right. I want to focus, try to take this 18 18 before me chronologically, but I will jump around a little bit. But 19 Q All right. 19 as of the time you arrived and Mr. Richardson was now 20 20 cuffed, he was still facedown on the ground? A To the pod. 21 Q And then did you and Officer Stumpff assist in 21 Yes 22 22 handcuffing Mr. Richardson? Q As of that time, you knew that Mr. Richardson 23 A We did 23 was unarmed: correct? 24 2.4 MR. PREGON: Objection. Q Was Mr. Richardson being held on the ground Page 30 Page 32 1 1 while you cuffed him up? A I did not know that. 2 A He was facedown and they were getting his arms 2 BY MR. DICELLO: 3 3 behind his back trying to get the handcuffs on him. And Q Did you check him for weapons? 4 we had to double up so we could extend his cuffs. He's a 4 A I don't know at what point if anybody did a 5 broad, broad individual 5 complete pat-down of him. I did not. They're kind of in 6 Q So what did you actually do when you say 6 the backdrop ensuring everybody's doing what they need to 7 be doing; attending to Mr. Richardson, getting the "assist"? 8 8 A Held his arms so they could get the cuffs situation under control. There are all kinds of different 9 9 secured, locked. things. Is everybody locked down. There are many 10 1.0 Q Was Mr. Richardson resisting being cuffed? different duties that I'm overseeing at that point making 11 11 A He was pulling away, I mean, for lack of a sure everybody is responsible for. So whether they did a 12 12 better word, yep. quick cursory search of him, checking him, they may have. 13 Q Was Mr. Richardson saying anything at this time 13 I do not know that. 14 14 when he's being cuffed up? Q Is that something you would expect a 15 15 A It was all unintelligible. And then at some corrections officer to do, would be to see if the inmate point, I don't remember if it was when he was being 16 16 had a weapon on him? 17 cuffed, saying "Get off me," or if it was after he was 17 A They could, based on what they're interaction 18 was, what their belief -- their belief could very well be 18 cuffed, saying "Get off me." But there was very little 19 that I could understand from Mr. Richardson. 19 different from mine of what's going on. Again, they were 20 2.0 Q I deposed Officer Johnson, and he said that having interactions with him prior to my arrival. So what 21 2.1 with some assistance it took less than a minute to get they saw and what his interactions were before when they 2.2 Mr. Richardson cuffed up. Is that consistent with your 22 opened that door where Mr. Richardson was housed would 23 23 recollection? have been unknown to me at that point. 24 A From the time that I got to -- up to the upper 24 Q Did you ever instruct anyone, "search him for a Page 31 Page 33

1	weapon"?	1	Q I think you described Mr. Richardson as being
2	A I did not.	2	broad. You knew as of the time that Mr. Richardson was
3	Q If you were concerned that he had one, you	3	cuffed and lying on the ground that he was obese; correct?
4	would have instructed somebody to do that, I presume?	4	A I don't remember exactly what he was a large
5	A Oh, yeah.	5	man. He was not I'm five-eight, so everybody is
6	Q So fair to say that you weren't concerned that	6	large to me. So he's he's he was tall. I don't
7	Mr. Richardson had a weapon on him?	7	remember how tall he was. I knew he was a taller
8	A No, I probably didn't I don't know whether	8	individual. Again, that's in perspective to my own
9	it crossed my mind or not, you know, trying to recollect	9	height. What he exactly weighed, I'm sure he was well
10	back to then. But if I if I had felt reasonably	10	over 200 pounds. I don't remember exactly what weight.
11	assumed anybody had a weapon, we would be I would be	11	But he was an individual where he was broad, and usually
12	verifying that they had already done that or telling them,	12	that comes with size itself, to where if we're going to
13	instructing them to do so.	13	have to use two sets of cuffs to expand that distance,
14	Q You and I are communicating well. I'm not sure	14	then you're a fairly large individual. Whether obese or
15	that it picked up on the record there, so I'm going to	15	not, I don't know.
16	repeat the question. Fair to say that you weren't	16	Q At some point while you were up there,
17	concerned, once Mr. Richardson was cuffed and facedown,	17	Mr. Richardson was asking to be let up; correct?
18	that he had a weapon on him; correct?	18	A Said something to the effect of "Get off me,"
19	A If I was concerned there was an officer safety	19	yeah, "Let me go" or "Get off me."
20	issue involving a weapon, I would have ensured that that	20	Q And he said "Let me up"; correct?
21	was handled.	21	A Yeah. "Get off of me. Let me up." Yep.
22	Q And as you sit here today, you don't remember	22	Q As of the time that you were up there when he
23	ever doing anything to make sure that you confirmed that;	23	was lying facedown and he was cuffed behind his back, you
24	correct?	24	understood that Mr. Richardson was disoriented; correct?
21	Correct:		understood that wir. Kichardson was disoriented, correct:
	Page 34		Page 36
1	A No. In hindsight, I don't I don't think	1	A Again, I don't know what was going on with
1 2	A No. In hindsight, I don't I don't think that probably ever even came out of my I don't believe	1 2	A Again, I don't know what was going on with Mr. Richardson. But that's what we were trying to figure
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1 a full blown seizure. So the after effects of tired. 1 Medical staff had arrived or was arriving, 2 2 things of that nature, what would be considered the after which included nurses. Medic Stockhauser, I believe 3 3 effects of a seizure, yes. Stockhauser is his last name, they were arriving and doing 4 Q Did you consider that maybe Mr. Richardson was 4 what they do, they're medical -- with their medical 5 5 under the influence of some type of intoxicating training. And then our corrections officers were also 6 substance? 6 assisting around, they had hands on his legs, things of 7 A I did. that nature. At some point, we were putting him up on his 8 Q Did you ever see Mr. Richardson hurt anyone? 8 side. Stockhauser, like I said, was trying to put a 9 9 breathing mask at some point on him. I had already -- And 10 Q Did you ever see him try to hurt anyone? 10 I don't remember if we had already at that point, I wanted 11 11 to get leg restraints, because I knew they were a larger 12 Q Had Mr. Richardson committed any crime that you 12 spread, and so I wanted to get those double cuffs off and 13 13 were aware of when you responded? move him to something that was more conducive to his size, 14 A Not that I was aware of, no. 14 to his broadness. So that was -- transitioned at some 15 15 Q Had he violated any kind of jail rules or point. And then I also ordered for a restraint chair. 16 anything that you were aware of? 16 Again, we didn't know what was going on. But getting him 17 A Not that I was aware of, no. 17 to a better position to where we can assess him was 18 18 Q Had he ever tried to assault his cellmate? really -- the only means was putting him into the 19 19 Not that was reported to me, no. restraint chair, because he had already came out of that 20 20 Q And you told me that you saw that he was cell into whatever the nature was to where officers had to 21 bleeding from the mouth? 21 get him cuffed to even assess him. 22 A Yes, he was. 2.2 So at that point, it was still maintaining 23 Q Did you think that might be consistent with him 23 control of Mr. Richardson. And the best way to do that 2.4 biting his tongue during the seizure, or did that not 2.4 would be the restraint chair to where we could do that. Page 38 Page 40 1 1 cross your mind? So that was ordered. I had ordered somebody to go get the 2 A No, I really had no idea. And at what point I 2 restraint chair. 3 3 saw the blood, I don't even know at what point I saw that Q Let me follow up on a couple of things. You 4 initially cuffing him, assisting getting him cuffed. I do 4 said that some shackles were swapped out for the 5 distinctly remember the medics attending to some blood 5 handcuffs? 6 6 coming out of his mouth, either dabbing it or -- while he A For the double cuffs, yes. We just used two 7 7 was messing with trying to put a mask on, a breathing pairs of handcuffs, linked them, and then -- to get that 8 8 mask. So at some point I definitely noticed it. initial spread, to get him handcuffed initially. But the 9 9 Q Were you aware of any medical conditions that leg shackles, where a -- because it's got a chain, a very 1.0 10 Mr. Richardson had as of the time you responded to the long chain, and so we went ahead and used those. Because 11 11 scene? of his size, the leg shackles could still cinch up enough 12 12 to where you can't pull your arms out or anything like 13 Q Did any medical person ever tell you that 13 that, so we can control you. So not only benefited what 14 14 Mr. Richardson had a history of heart disease? we needed to do to assess him, but also to make him as 15 15 A No comfortable as possible, also. 16 16 Hypertension? Q Why did Mr. Richardson need to be in handcuffs 17 for 22 minutes until he died? 17 18 A Because of his interactions, his initial 18 High blood pressure? 19 19 interactions. We're on an upper level pod with railings. 20 And again, his interactions coming out of that pod, which 20 So what happened next? You've told us that you 21 that would be something for those people to initially 21 assisted with getting these handcuffs on Mr. Richardson 22 obviously speak of, felt that control was needed and 22 and that he was outside of the cell, most of his body, and 23 necessitated, not only for his safety but the safety of 23 that he's laving down on his stomach, and he's handcuffed 2.4 behind his back. What do you remember happening next? 24 others. Mr. Richardson is a large individual. What his Page 39 Page 41

exact weight is, I don't know. But again, if you're 1 A Yes, initially. At some point. At the 2 2 trying to control somebody on an upper pod level who is, beginning. 3 whether it be disoriented medically or not medically, 3 Q Well, throughout the interaction. I mean, he 4 there's a lot of things that can go south very quickly, go 4 was saying, kept saying, "Let me up. I want out"? 5 5 bad, going over the railing, for not only Mr. Richardson, A Not -- He didn't kept saying that. He had 6 but also for the officers that are working. So safety not 6 stated that. How many times he stated that, and through only for Mr. Richardson, and the staff, is of the utmost the duration, did that happen throughout the 22 minutes 8 8 prior to his death, no, that was not the case. 9 So leaving him in that position while he's 9 Q So you're telling me the officers weren't 10 still being maintained, assessed by medical staff and 10 holding him down? 11 corrections officers, was exactly the right decision to be 11 A Not in -- I believe not, unless I'm 12 made until we could get that chair and function and get 12 misinterpreting the sense of what you mean "holding him 13 13 him transitioned to that chair. 14 Q The other thing I want to follow up on, you 14 Q So if you didn't need to hold him down, why 15 said that it was your understanding that whatever happened 15 didn't you let him up? when Mr. Richardson came out of that cell, the officers 16 16 A Because he at that point already displayed some 17 responding felt they needed to cuff him up. Did you 17 type of unknown behavior that we had vet to assess. We 18 18 understand that Mr. Richardson never got off the ground? had to control that area, control not only for the safety 19 A I don't know whether he was upright standing at 19 of our officers but also for the safety of him. And 20 some point. I don't know. 20 because he's not able to communicate what is going on, we 21 Q All right. So we'll leave that to those folks 21 have to do that in the most cautious and safe. I mean not 22 22 that responded. only for him, but for our staff. And that is why 23 A I don't know. 23 Q So if he didn't need to be held down, how long 24 Q So at this point, there's two sergeants there, 2.4 were you going to let him lay there before you got him up? Page 42 Page 44 1 if I understand, Jackson and yourself; correct? Until we could get him secured into that chair. 2 A Yes. 2 Q And if he didn't need to be held down, why 3 3 Q And the both of you would be responsible for didn't you sit him up so he could sit down? directing the other officers? 4 4 A We had medical staff right there. If that was 5 5 A That's correct. something that would have been a concern where they felt Q So I've seen the video, I've talked with some 6 he needed to be in the upright position, we would have 6 7 of the other folks, but it appears that between three and done that at their direction. But he was leaned -- I 7 8 8 four officers at different times are on the ground around mean, if you watch the video, which I've watched, he's 9 rolled to his side during the video, he's completely --9 Mr. Richardson holding him down; correct? 10 10 MR. PREGON: Objection. he's continually assessed. The medical staff is there. 11 Go ahead. 11 Q So the people that are describing him as 12 A No. I mean, I understand what you're saying. 12 combative and resistive in here, that's not the way it 13 I think initially holding him down when we got him 13 happened? 14 handcuffed, as when I arrived on the scene, that is, for 14 MR. PREGON: Objection. 15 sure, we had to use a minimal amount of force to control 15 A During the beginning? 16 BY MR. DICELLO: 16 him. But then his interactions mellowed out, and where he 17 17 Q No, during the whole thing. We just had was still breathing. He wasn't communicating, but he was 18 18 breathing. somebody sit where you are who didn't even show up until 19 So because of his previous interactions, having 19 ten minutes into this thing and he said everybody was 20 a hand on his shoulder or on his legs, I mean, he just 20 sweating because of the struggle. Is that not how it went 21 didn't -- and because he had previously tried to get up, 21 22 more of a safeguard for him, not only him, but also the 22 A From the very beginning. 23 23 staff. Q Did he stop struggling after you put the cuffs 24 Q Well, he was trying to get up? 2.4 on him? Page 43 Page 45

1 A No, not immediately. Again, he stayed --1 on his shoulder. So that wouldn't be -- their assessment. 2 2 of the situation could be completely different than my you're asking -- you -- your perception or your 3 interpretation of him being combative and resistant for 22 3 assessment. But we're not going to just because somebody 4 minutes --4 five minutes after we initially handcuffed them, not 5 5 Q That's not my interpretation. knowing still what's going on, because it hasn't been able 6 6 A -- is not the case. I don't believe anywhere to be communicated to us, going to suddenly just take them 7 7 in there it says that he was combative for 22 minutes. out of handcuffs. We will ease into that situation, 8 8 Q So he wasn't resisting? whether it is first get him into the restraint chair, 9 MR. PREGON: Objection. 9 which is what we were going to do, be able to get him 10 A I believe in the report it says he was 10 assessed, hopefully at some point get him verbally 11 combative. For the length amount of time, that could be 11 communicating, and determining by him speaking to us and 12 perception based on everybody's individual account. And 12 gaining information, then we could graduate to that 13 also, perception of combative could be different between 13 totally out of the restraint chair or handcuffs if it 14 myself and for you. 14 progressed to that. 15 BY MR. DICELLO: 15 Q It took 22 minutes for Mr. Richardson to die on 16 Q I guess until you sat down, I thought I 16 the floor there. Do you understand that? 17 17 understood why Mr. Richardson was being restrained on the A I do. 18 18 Q And you're now telling me -- And I've got to ground. But if I understand, your perception was he 19 19 didn't need to be restrained on the ground? tell you, it's quite different than the testimony we've 20 20 A I never said that. heard today, okay? Because you're now telling me that 21 MR. PREGON: Objection. 21 he's not kicking his legs, he's not thrashing around. So 22 22 A That's not what I said 23 BY MR DICELLO: 23 A I didn't say he's not. I -- I said whether 24 Q All right. Then you've got to help me out 24 he's doing that 22 minutes throughout the whole thing, my Page 46 Page 48 1 interactions with him said that he was not doing that for 1 here. 2 2 the entire 22 minutes. A He had displayed behavior previous to him --3 3 Q So here's my question: If he wasn't combative Q And you don't know what that behavior was? 4 Was -- When I was there, he was clearly not 4 for the whole 22 minutes or he wasn't kicking or thrashing 5 able to be cuffed, we had to double handcuff him, so I had 5 his legs like you just said, then why didn't you get the 6 6 to assist with that. guy on his feet and get him assessed? 7 7 MR. PREGON: Objection. Q Okay. 8 8 So he had that behavior. He did try to either A Because we have medical staff there. And if 9 9 push up -- I don't know how he was doing it. Again, I they felt he needed to be on his feet, then we would have 10 1.0 don't remember all that other than the fact that he was -put him on his feet. 11 again, how many times did he say "Get off me, let me up," 11 BY MR. DICELLO: 12 was it once, was it three times, did it stop after five 12 Q So is that how it works at the jail, you just 13 minutes? I don't recall that. Was he frailing (sic) and 13 keep people on their stomach with hands cuffed behind 14 kicking throughout that up to 22 minutes? I don't -- I 14 their back until a medical person says, "Okay, this person 15 don't recall that occurring. And I think the video will 15 has to get on his feet now"? 16 also support that, because you see the interactions 16 MR. PREGON: Objection. 17 between the corrections officers, and not only myself and 17 A No. We assess every situation differently. 18 Sergeant Jackson, we're not going to -- I'm not going to 18 Mr. Richardson's situation could be different than a guy's 19 be walking around Mr. Richardson and going into the cell 19 situation 30 minutes later. No one incident is the same. 20 and checking the cell and things of that nature if during 20 And --21 that whole time he is combative. Did he every now and 21 2.2 then nudge up or move? Those would be the interactions 22 Q So why did this incident require this guy to be 23 that would be described -- only known to those corrections 23 on his belly for 22 minutes if he wasn't combative or 24 officers that actually had their hands on his legs, maybe 2.4 resisting during that time? Page 47 Page 49

1 MR. PREGON: Objection. 1 Q I will ask him. But why wouldn't that give you 2 2 A Again, we were waiting to get him controlled concern as a supervising sergeant that, well, somebody 3 with some medical training is trying to give oxygen to 3 into the chair 4 BY MR DICELLO: 4 this guy, maybe there's an issue with his ability to 5 breathe? You said there's common sense things that you're 5 Q I thought you said he was under control. obligated to protect people's rights about. Why didn't 6 A He was in handcuffs and he was under control 6 and being monitored. that pop into your mind as a common sense thing? 8 Q So why did you have to keep him in the position 8 A I didn't -- Sorry. 9 he was in for 22 minutes if he was under control, he 9 Q Go ahead. 10 wasn't being combative, and there was no need to have to 10 A I did not have any concerns about how he was 11 being medically treated by the medical staff or ourselves. 11 physically restrain him there? 12 A Well, I guess then I don't -- that is still a 12 And I was comfortable with the way that the things were 13 13 matter of perspective on what you believe would be the being assessed 14 proper care versus what I believe is the proper care. At 14 Q I appreciate that. My question is about you 15 15 the end of the day, Mr. Richardson was controlled, put in see somebody now who is on the ground, they're having some 16 a comfortable position by not only extending his length 16 unknown medical emergency, they're handcuffed with their 17 17 for his leg shackles, he was continually being monitored, hands behind their back, they appear disoriented, 18 18 he was put on his side several times, he was provided a intoxicated, they can't communicate, they're bleeding from 19 19 breathing mask, and then monitored by not only the nurses, the mouth, and now somebody is trying to give them oxygen 20 20 the doctors, and the paramedics. I believe that we did with a mask. You had no concerns that there was any 21 everything that is required of us medically to continually 21 problem with his breathing? 22 22 monitor Mr. Richardson until we could get him transitioned MR. PREGON: Objection. 23 into that chair 23 A I had no concerns with how he was assessing him 24 2.4 Q Sergeant, I'm showing you at 18:47. Is this and giving him that oxygen, whether it be for breathing --Page 50 Page 52 the comfortable position that you're alluding to that whether Stockhauser's concern was breathing. Had 1 1 2 Mr. Richardson was in? I just want to make sure. When 2 Stockhauser believed that his concern was breathing, and 3 3 you say that he was in a comfortable position, are you it was positional, then I'm fairly confident that not only 4 alluding to this position here? 4 the nursing staff, doctors, and Stockhauser would have 5 MR. PREGON: Objection. 5 said we need to reposition him to handle that situation. 6 6 A I don't know whether he was comfortable or But that did not occur. 7 7 whether he wasn't. We weren't able to communicate with BY MR DICELLO: 8 8 Q Did you have any concerns at any point in time 9 BY MR. DICELLO: 9 that Mr. Richardson might not be able to breathe? 10 10 Q Well, you described it as you put him in a A I did not. Not at that point, I did not. 11 comfortable position. 11 As a sergeant, you're responsible for knowing 12 A The most comfortable position where he could be 12 what the rules of the jail are; correct? 13 medically assessed for the safety of him and not only us, 13 14 ves. 14 And you're responsible for enforcing those 15 Q When the medic was trying to administer oxygen 15 rules; correct? 16 to Mr. Richardson, when you saw that happening, did you 16 17 17 think in your mind this guy might have some problems Q But also, it's also some other folks' 18 18 responsibilities to make sure that you're trained properly 19 A No. I mean, that's normal -- That would be 19 so that you can oversee the implementation of those 20 something that you would have to ask Stockhauser. He saw 20 policies and procedures; correct? 21 21 something where he decided to put a mask, a breathing A Yes, sir 2.2 mask, whether it was a nasal cannula, I don't recall 2.2 Q Do you agree as a rule, Sergeant, that 23 23 which, but to do something to that effect. But that would corrections officers must never apply restraints in ways 24 be something that you would have to ask him. 24 that may restrict a member of the public's breathing? Page 51 Page 53

1	MR. PREGON: Objection.	1	thing in if you want.
2	A Well, of course, we don't want to purposefully	2	A Yes, please.
3	restrain somebody that is going to restrict their	3	Q So let's put in restricting people's blood
4	breathing. We're not trained to do that.	4	circulation and causing them physical pain, too. "Staff
5	BY MR. DICELLO:	5	members must never place restraints around the neck of a
6	Q So do you agree with the statement that a	6	prisoner"; agreed?
7	corrections officer must never apply restraints in ways	7	A Agreed.
8	that may restrict someone's breathing?	8	Q "Or apply restraints in ways that may inflict
9	MR. PREGON: Objection.	9	physical pain"; agreed?
10	A We are taught in defensive tactics, in worst	10	A Agreed.
11	case scenarios, to essentially restrict somebody's	11	Q "Or which may restrict blood circulation"?
12	breathing temporarily. I don't know if we're splitting	12	A Agreed.
13	hairs or you're talking But that is more of a combative	13	Q "And/or breathing"?
14	last resort move that we are instructed on during our	14	A Agreed.
15	defensive tactics. If you're talking about for everyday	15	Q Okay. That's the rule; right?
16	restraining, transportation of inmates that are going to	16	A Yes.
17	be handcuffed, then no, we're not we do not train to do	17	Q Corrections officers or folks who are working
18	that.	18	at the Montgomery County Jail are only permitted to use
19	BY MR. DICELLO:	19	force that's reasonable under the circumstances; agreed?
20	Q And I don't want to split hairs, I want to read	20	A Correct.
21	• • • • • • • • • • • • • • • • • • •	21	Q And that force has to be reasonably necessary;
22	A I guess I'm	22	correct?
23	Q I want to read directly from the use of	23	A That's correct.
24	restraint policy in the jail. Isn't that a good place to	24	Q Unreasonable force is excessive force; true?
	Page 54		Page 56
1	start?	1	MR. PREGON: Objection.
2	A Yes, you can start there.	2	A Unreasonable force is excessive? I don't know
3	Q Staff members I presume that means	3	that every situation would be excessive. I mean, to a
4	corrections officers; yes?	4	degree. When I think of the word "excessive," I'm
5	A Well, staff members, it would be anybody that	5	thinking of your worst case on camera stuff that you see
6	is assigned to the Jail Division. So corrections	6	nationally with I can reflect back to when I was young
7	officers, sergeants, I can't imagine any other reason why	7	with the Rodney King, stuff like that. But to a degree,
8	medical staff would be doing some of the functions, but	8	yes. Uh-huh.
9	Q Okay. Well, there are situations where people	9	BY MR. DICELLO:
10	are put in medical restraints. You're familiar with that,	10	Q Okay.
11	or not?	11	A Yeah, I guess I'm not
12	A Oh, yes. I've done that myself, yes, sir.	12	Q I want to make sure I understand your answer.
13	Q So staff members must never apply restraints in	13	A I don't know I don't know that it's every
14	ways that may restrict breathing. Yes? No?	14	Q Are you telling us that there are times an
15	A Is that the entirety of the policy or is it	15	officer can use unreasonable force, but it's not
16	being, I guess for lack of better words I don't want to	16	excessive?
17	use the word cherry picked, but	17	A Unreasonable?
18	Q Go ahead.	18	Q Yeah.
19	A Cherry picked through? Because Can you read	19	MR. PREGON: Objection.
20	that to me one more time?	20	Go ahead.
21	Q Yeah.	21	A No. I mean, if it's unreasonable, completely
22	A I'm sorry.	22	outside of the common conscious of a person, then I would
23 24	Q I'm trying to exclude parts about blood	23	say that that would be excessive. BY MR. DICELLO:
2-1	restrictions and physical pain, but I can read the whole	4	DI WIK. DIOLLEO.
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1	Q Force that is unnecessary is likewise	1	ways. I mean, people are Well, not several different
2	excessive; correct?	2	ways. But in law enforcement, whether you're road patrol
3	·	3	
4	MR. PREGON: Objection.	4	operations or in a jail situation, there are times where
5	A That is unnecessary. If it's unnecessary, then	5	you can have a person for the term "prone them out,"
	we should not be doing it, yes.	6	meaning they can be either compliant with your order by
6 7	BY MR. DICELLO:		getting down on their face, on their belly, hands
	Q Placing members of the community And that's	7 8	outstretched, that would be the common use of the word
8	who these folks in the jail are; correct?		"proning" that people are used to. And that would be to
9	A They're citizens. No different.	9	secure a person within restraints. Or even not. Just
10	Q Right. Placing members of the community who	10	because you prone somebody out doesn't mean you're going
11	are in restraints and when I use the word "restraints,"	11	to restrain them. You could be going merely up there to
12	I mean that to include handcuffs, shackles	12	pat them down, make sure that they're safe for you to have
13	A I understand, sir.	13	that interaction on that, maybe and I'll use an unknown
14	Q Okay. So placing members of our community who	14	traffic stop, for example. A guy was reaching, kind of
15	are in handcuffs in a prone position is never an	15	getting a little fidgety in the car before you approached,
16	acceptable practice; agreed?	16	so you could use that situation for something along those
17	MR. PREGON: Objection.	17	lines. And then the situation could either evolve to
18	A That's not That's not No, I do not agree.	18	cuffing or maybe not cuffing based on every scenario.
19	BY MR. DICELLO:	19	Q Doesn't the Montgomery County Jail manual
20	Q That isn't the rule, is it?	20	include the statement that I just read that you said isn't
21	A No, there's nothing in our training that says	21	written down anywhere?
22	we cannot have somebody in a prone position and	22	MR. PREGON: Objection.
23	handcuffed. There's nothing that says that.	23	A The jail manual talks about proning and
24	Q Nothing that you're aware of?	24	hog-tying and things of that nature. It doesn't talk
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1	A There's nothing in our policies or training	1	about saying that we're permitted that we're completely
2	through OPOTA and any type of state certification in the	2	prohibited from proning to secure somebody. It doesn't
3	state of Ohio for police law enforcement certification	3	say that. That policy is relative to hog-tying, leg
4	that states that we cannot put somebody in a prone	4	restraints, things of that nature.
5	position and handcuff them. Nothing.	5	BY MR. DICELLO:
6	Q All right. I presume there's also nothing in	6	Q Well, let me read it into the record.
7	the state of Ohio and all the things that you just listed	7	A Okay.
8	for me that says that placing members of the community who	8	Q "When applying handcuffs, staff members must
9	are in restraints in prone position is prohibited;	9	never tie the handcuffs to leg or ankle restraints."
10	correct?	10	That's what you're talking about; right?
11	A There is nothing	11	A Yes.
12	MR. PREGON: Objection.	12	Q That's hog-tying; correct?
13	Go ahead.	13	A That's correct.
14	A There is nothing that's, again, that it's not	14	Q "Hog-tying prisoners or placing prisoners who
15	prohibited, there's no instruction out there in Ohio law	15	are in restraints in prone or spread eagle positions are
16	enforcement that says that is a restricted procedure as	16	never acceptable practices and are prohibited." Are you
17	far as putting somebody in a prone position and	17	aware that that's what the policy reads?
18	handcuffing them.	18	A I'm aware of what the policy says.
19	BY MR. DICELLO:	19	Q That applies to beyond just hog-tying, doesn't
20	Q And I want to put some contours on this concept	20	it?
21	of prone restraint. Is that a term you're familiar with?	21	A That's not my interpretation of that policy.
22	A Yeah. Well, prone is	22	Q How do you interpret the word "or"?
23	Q Facedown?	23	A I interpret the policy as hog-tying a person
24	A is facedown. There are several different	24	with their legs and their hands cuffed is how I interpret
	is taccaowii. There are several different	23	with their legs and their hands curred is now i interpret
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1	that policy.	1	MR. PREGON: Objection.
2	Q You think that's a how that reads in the	2	A I'm sure they do.
3	English language?	3	BY MR. DICELLO:
4	A You asked me what my interpretation was of the	4	Q This policy that I just showed you, which is
5	policy.	5	the use of the restraints policy for the Montgomery County
6	Q Okay.	6	Jail manual, this policy follows the executive order,
7	A And that is my interpretation.	7	doesn't it?
8	Q Have you ever saw an executive order from the	8	MR. PREGON: Objection.
9	governor that prevents people from putting people in prone	9	A I don't know that it does. I've never seen
10	restraint?	10	that policy.
11	A That executive order is not something that is	11	BY MR. DICELLO:
12	forwarded to OPOTA teachings and trainings. What we are	12	Q You've never seen the policy or you've never
13	trained on is what's through our academy, through which	13	seen the executive order?
14	is certified by the State of Ohio through OPOTA. There	14	A The executive order.
15	are probably, I'm guessing, a lot of orders and	15	Q Okay. Why do you think that is?
16	memorandums that are passed throughout agencies, whether	16	MR. PREGON: Objection.
17	it be law enforcement agencies or state agencies, that	17	A You know, there's a lot of ideas that people
18	never make it to anywhere, whether it be our training	18	have, whether it be through means of policing,
19	facilities. And that order right there has never made it	19	corrections, and they get put on paper. Some get
20	to me. I've never seen that before. Nor have I heard of	20	implemented, and some don't. Some get accepted into
21	it.	21	practice by policies, procedures, and standards, some
22	Q You've never heard of Governor Strickland's	22	don't. Which ones do, which ones don't? I couldn't put a
23	executive order 2009-13S "Establishing Restraint Policies	23	number on it. All I know is that every situation is going
24	Including a Ban on Prone Restraints." Is that your	24	to be different. And there are situations, and there will
	Page 62		Page 64
1	testimony?	1	continue to be situations where we will have to prone
2	A That is my testimony.	2	people out and restrain them.
3	Q Are you aware that Governor Kasich has also	3	BY MR. DICELLO:
4	endorsed and continued this executive order, sir?	4	Q Did you ever review the Ohio Department of
5	A Again	5	Rehabilitation and Correction's policies on use of force
6	Q The question is are you aware of whether	6	and prone restraint?
7	Govenor	7	A I have not.
8	A No.	8	Q Those policies use the same language, the use
9	MR. PREGON: Objection.	9	of prone restraint is prohibited. Do you see that?
10	BY MR. DICELLO:	10	A Again, these policies here, I follow I
11	Q Kasich	11	utilize the jail manual and our policies and procedures
12	A No. I would have no I've never seen that	12	for the Montgomery County Sheriff's Office.
13	before.	13	Q Are the same. Do you see that?
14	Q Are you aware that this executive order applies	14	A Okay.
15	to the Ohio Department of Rehabilitation and Correction?	15	MR. PREGON: Objection.
16	A No, I'm not.	16	BY MR. DICELLO:
17	Q Have you ever seen the Ohio Department of	17	Q So I'm giving you now the Ohio Department of
18	Rehabilitation and Correction's policies and procedures on	18	Rehabilitation and Correction's policies on prone
19	restraint?	19	restraint, and I'm having you look at your jail's policies
20	A The State of Ohio's policies and procedures? I	20	on prone restraint, and they both say that prone restraint
21	have not.	21	is prohibited; correct?
22	Q The State of Ohio and the Department of	22	MR. PREGON: Objection.
23	Rehabilitation and Correction, they publish jail	23	A That's what they say.
24	standards, too, don't they?	24	BY MR. DICELLO:
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1	Q Mr. Richardson was restrained in the prone	1	A A transitional from Are you talking about a
2	position; true?	2	restraint, like a physical restraint?
3	•	3	
4	A He was facedown, belly down, yes, sir.	4	Q Yeah, when you're talking about situations
	MR. PREGON: Objection.	5	where you have to prone somebody out and put cuffs on
5	A But he was also on his side several times	6	them, are you familiar with the concept of a transitional
6	throughout interactions and also being monitored by		hold to put somebody in those cuffs and then basically get
7	medical staff and doctors.	7 8	them off their belly as soon as possible?
8	BY MR. DICELLO:		A Yes. We've been trained to put them in an
9	Q Who was the doctor that was monitoring?	9	upright position when feasible. It's not always feasible,
10	A Dr. Barbara Ellis.	10	though.
11	Q When did she get there on the scene?	11	Q Have you ever been trained on a concept that
12	A I don't know what time she arrived on scene.	12	has been explained to me by certain people in your field,
13	Q I think she got there to declare him dead.	13	but these things go by different rules, as you know, but
14	A She was there prior to that.	14	the three-minute rule? And the concept is that an inmate
15	Q A definition of prone restraint that I'm	15	or a member of the public is at an elevated risk of sudden
16	getting from the State of Ohio, I want to see if you agree	16	death after struggling with corrections officers in a
17	with it. And this is a mouthful. So bear with me, okay?	17	prone position for as few as three minutes.
18	I appreciate you bearing with me so far, okay?	18	A We've been I mean, we've been through
19	A Yep. No worries.	19	instruction about positionals, where people are
20	Q Let me know when you want to take a break. Or	20	restrained, things of that nature. Of course we've been
21	if you do.	21	through that training through law enforcement as a whole.
22	Prone restraint. All items or measures used to	22	Even outside of corrections. As far as the three minutes,
23	limit or control the movement or normal functioning of any	23	I don't recall what the timeframe is. But, yeah, that
24	portion or all of an individual's body while the	24	would probably be I mean, that could be accurate. I
	Page 66		Page 68
1	individual is in a facedown position for an extended	1	don't Yeah, I don't recall a timeframe for it.
2	period of time. Do you agree that's what prone restraint	2	Q Is it your understanding, whether or not you
3	is?	3	recall this timeframe, that after as short as three
4	MR. PREGON: Objection.	4	minutes of struggling with corrections officers while
5	Go ahead.	5	proned out and restrained that there's an increased risk
6	A Can you read that to me one more time?	6	of sudden death for the inmate?
7	BY MR. DICELLO:	7	MR. PREGON: Objection.
8	Q Yeah. You're not the first person that's asked	8	A There's a There's an unknown risk to any
9	me to re-read it.	9	person who is struggling. There's all kind of factors
10	A I'm deaf in one ear, just for the record.	10	that are and whether it be prone, health, whatever, you
11	Q Yeah, okay. Then I'll yell at you, but don't	11	know, there's all kinds of unknown variables. But I think
12	get mad at me for it.	12	that's safe to say with about any type of physical
13	Prone restraint. All items or measures used to	13	restraint that has to be that takes a considerable
14	limit or control the movement or normal functioning of any	14	amount of action.
15	portion or all of an individual's body while the	15	BY MR. DICELLO:
16	individual is in a facedown position for an extended	16	Q Well, no disrespect, Sergeant, but are you
17	period of time. Do you agree that's prone restraint?	17	aware that there is considerable research and literature
18	MR. PREGON: Objection.	18	in your field that reports on the incidents and the
l		1	
19	A If that's the definition, yes.	19	increased risk of sudden death in the prone restraint
19 20	A If that's the definition, yes. BY MR. DICELLO:	19 20	position?
	•		•
20	BY MR. DICELLO:	20	position?
20 21	BY MR. DICELLO: Q That's what happened to Mr. Richardson; true?	20 21	position? A I'm aware
20 21 22	BY MR. DICELLO: Q That's what happened to Mr. Richardson; true? A Yes. He was in a prone position, yes, sir.	20 21 22	position? A I'm aware MR. PREGON: Objection.
20 21 22 23	BY MR. DICELLO: Q That's what happened to Mr. Richardson; true? A Yes. He was in a prone position, yes, sir. Q Have you ever been trained on the concept of	20 21 22 23	position? A I'm aware MR. PREGON: Objection. A I am aware of that.

1 O You are aware that research has shown that the 1 A They know what can occur any time you restrain 2 2 prone restraint is a potentially hazardous and lethal somebody, including up to -- I mean, any time you have 3 struggles or interact with inmates within the correction 3 restraint position; correct? 4 MR. PREGON: Objection. 4 facility, the worst case scenario is death. 5 5 A Yes. It's potentially hazardous, yes, sir. Q True. BY MR. DICELLO: 6 6 A The best case scenario is a cuff release into 7 Q And that's what the governor of the State of their pod, medical treatment, what have you. They are 8 Ohio says in his executive order. It says, "Research has 8 taught and trained of what all the variables are, 9 shown that prone restraint is a hazardous and potentially 9 including positional, yes, sir. 10 lethal position"; correct? 10 Q They're supposed to be taught that; correct? 11 MR. PREGON: Objection. 11 MR. PREGON: Objection. 12 A I've never seen this document before. 12 A They are taught that. BY MR. DICELLO: 13 13 BY MR. DICELLO: 14 Q I'm showing this to you now for the first time 14 Q So the folks who sit in this chair and answer 15 in your career; right? 15 my questions should say, "I've been taught about all of 16 A If you're asking me if his remarks about a 16 these variables that go into the risk of positional 17 prone position, could it lethal? That has obviously been 17 asphyxia"? 18 18 backed up by numerous studies. A I'm telling you what -- I should sit there and 19 19 Q And were you aware that there was a committee say I'm telling you what we are taught as an agency of the 20 20 sheriff's office. They go through a correctional training that the governors had put together to study prone 21 restraint? 21 as a corrections officer. My assumption is that part, 22 22 A No, I wouldn't be made aware of that. because it is very similar to what my interactions would 23 Q So regardless of what is in this executive 23 be, even at the street level, would also be taught. What 2.4 order or not, you do agree with bullet three, that prone they are exactly taught during that corrections academy 24 Page 70 Page 72 1 1 and whatnot, I can't attest to. I did not take the research has shown that the prone position is a hazardous 2 and potentially lethal restraint; correct? corrections academy 3 MR. PREGON: Objection. 3 Q You went a different route? 4 4 A Yes A Yes, sir. 5 BY MR. DICELLO: 5 Q It's your expectation that they should be 6 6 Q And corrections officers working at the taught that stuff? 7 7 Montgomery County Jail should know that; true? A I would hope so, yes, sir. 8 8 MR. PREGON: Objection. Q Sergeant, do you agree that corrections 9 9 A We know what all of the hazards are any time we officers or law enforcement personnel must never restrain 10 10 have an interaction with an inmate, whether it's prone, people in ways that pose an unnecessary risk of death? 11 upright, standing. We're aware of what all the variables 11 MR. PREGON: Objection. 12 are. We're taught that. 12 Yes 13 BY MR. DICELLO: 13 BY MR. DICELLO: 14 Q I know you're speaking for yourself primarily. 14 Q That's a rule, isn't it, of law enforcement? 15 My question is a little different. Corrections 15 16 officers -- And I'm not talking about the folks that have 16 And the reason that's the rule is because if the experience that you have on the street and through 17 you restrain somebody in a way that poses an unnecessary 17 18 18 policing and your career. I'm talking corrections risk of death, that's excessive force; right? 19 officers at the Montgomery County Jail should know about 19 MR. PREGON: Objection. 20 the hazardous and potentially lethal position that is the 20 BY MR. DICELLO: 21 restraint position, correct, prone restraint position? 21 Q Because it's unnecessary? 22 MR. PREGON: Objection. 22 A If you are purposefully using unnecessary means 23 BY MR. DICELLO: 23 when there's another -- And it's situational. It's so 2.4 Q They should know about that? 2.4 situational Page 71 Page 73

1 Q I understand that. But there has to be some 1 Q My follow-up question as to that rule we just 2 2 talked about, I said if a corrections officer were to do rules; right? 3 3 A There is no -- It's painting an incident with a something like that, restrain someone in a way that posed 4 4 raw brush. Excessive, any time you use the word -- you an unnecessary risk of death, it would amount to excessive 5 5 throw the word "excessive" in there, if I'm doing force because it is in fact unnecessary. Do you agree 6 6 something outside of the reasonable realm and it can be with that? 7 excessive, then that is unacceptable. But there are A Yes. 8 always situations out there where you have to get 8 Q When faced with two or more ways to restrain a 9 something dealt with, restrained, and then -- and then 9 member of the public or a detainee at the Montgomery 10 adapt from -- I think you used even transitional, we're 10 County Jail, do you agree a corrections officer must 11 taught -- "transitional" is the word you used. 11 choose the safer alternative? 12 Transitional can be from that prone position to the chair 12 A What are -- I guess I caution the answer. 13 that we talked about. That is transitional. 13 You're saying two or more ways. When there's multiple 14 14 ways, whether it be two, three, four, we should always 15 Maybe in the eyes of whoever, Joe Public, your 15 choose the safer most reasonable means for the entirety of 16 clients, or whoever, that transition took too long. 16 what that situation is. So it's not -- again, it's 17 Again, that is -- that is -- that's a matter of opinion, 17 definitely situational for sure. 18 which is obviously why we're here, of what was --18 Q And you use the example of hog-tying. And 19 Q The lawyers will tell you that's a matter for 19 there was probably a day decades ago where officers said, 20 the Court. 20 "I think the safest way is to hog-tie somebody"; right? 21 -- of what that timeframe, what is that 21 A Yeah. I mean, I believe that probably at the 22 acceptable timeframe. And again, my belief is that we 22 beginning of my career that was probably still an accepted 23 weren't excessive. 23 practice almost 18 years ago. 2.4 Q All right. 2.4 Q And over the course of time, it no longer is an Page 74 Page 76 1 A And that we -- And had we been, yeah, then the 1 accepted practice; right? 2 answer, the long-winded answer to your question is it's 2 A It is not. 3 3 unacceptable. Q And so even though hog-tying might represent 4 4 the safest way for everybody involved on the correction Q Your answers are getting as long as my 5 5 questions. officer side of things, it's no longer an accepted 6 6 MR. PREGON: Are we at a good restroom break? practice because it puts the inmate at an unacceptable 7 MR. DICELLO: Yeah. risk of injury or death; correct? 8 8 (Discussion held off the record.) A It would be if we used hog-tying. And again, 9 BY MR. DICELLO: 9 in this case we did not hog-tie anyone. 10 Q Sergeant, we're back from a short break. I 10 Q I understand. But what I'm getting at is there 11 think before we did take a break, I was asking you a 11 can be multiple different ways to restrain someone, and 12 question that you agreed with. The question was 12 there might be some ways from the corrections officer's 13 corrections officers must never restrain people in ways 13 standpoint that are the absolute safest, but they're that pose an unnecessary risk of death. I think you 14 14 unreasonable, like hog-tying is an example of that; 15 agreed with that; correct? 15 correct? A Yes, sir. 16 16 MR. PREGON: Objection. 17 Q And then I think what we were following up on 17 A Hog-tying will always be unreasonable. 18 at the time we took our break is --18 BY MR. DICELLO: 19 (Phone beeping.) 19 Q Well, it wasn't always; right? 2.0 A I'm sorry. 20 A Well, in today -- the way we are trained and we 2.1 BY MR. DICELLO: 21 have tools that are available to us, hence the restraint 2.2 Q Do you need to take it? 22 chair, that is why we have things, tools of that nature 23 A No, no. I had it off. I must have 23 available to us to be able to transition, you know, at 24 accidentally bumped it. I'm sorry. 24 that time, when we're able to safely do so, transition to Page 75 Page 77

1 those type of positions. 1 web-based product that is for the sheriff's office. There 2 2 Q The point I'm trying to make, and I'm being are other agencies all across the country that use it. 3 3 clumsy about it, when a corrections officer is considering But it can be supportive for training, all of our policies 4 4 ways to restrain an inmate under the totality of the and procedures are uploaded onto that, electronic means, 5 5 circumstances, the safety of the inmate has to be you know, everybody is trying to do the paper friendly, so 6 6 considered? things of that nature. A Always. Q And what was the name of that? 8 8 Q And so when we're talking about safety from the PowerDMS. 9 standpoint of the inmate, if there are two or more ways to 9 Q PowerDMS. Okay. 10 restrain an inmate, all other things being equal, the 10 There's been a lot of documents exchanged in 11 corrections officer must choose the safer way; agreed? 11 this case, binders and binders. And I've looked at every 12 12 single page. I didn't come across any kind of legal 13 13 Q That's another rule in corrections; correct? update or bulletin that speaks to the status of the law on 14 MR. PREGON: Objection. 14 prone restraint. It might be in there, I didn't see it. 15 A That we always use the safest means necessary 15 Can you call to mind any kind of legal update through this and the most appropriate? I don't know what the exact 16 16 system that you've told us about or things that people are 17 verbiage is. But we would always use the most -- the 17 pushing out to you that advised you as to what the status 18 18 safest ways to restrain somebody, the most, you know, of the law is for issues concerning prone restraint? 19 19 what's going to be effective for that situation and the A In regards to whether we can do it or whether 20 safest, both for not only the officers, but also for the 20 we cannot do it? 21 inmate, ves. 21 O Yeah, whether it's constitutional or 22 22 BY MR. DICELLO: unconstitutional. 23 Q Has anybody ever trained you on kind of 23 A I haven't seen anything that says we cannot 2.4 developments in the case law as they're announced as to prone -- prone somebody out. In this case, again, he 2.4 Page 78 Page 80 1 what are constitutional versus unconstitutional practices? 1 wasn't -- he wasn't prone, we didn't prone him out. He 2 A For restraints? was on -- He was out when -- You know, whether -- and that Q Sure. 3 3 would be something that obviously those corrections 4 4 officers and Sergeant Jackson would probably have to A I go through -- We go through updates that are 5 sent, whether it be policy and procedure changes, where we answer to, whether he came out, was already on his face, 6 sign off on those policies and procedures. There can be proning out. The term of "proning out" is the physical 7 7 telling somebody, giving them a directive, them getting updates that are, I don't know if they're necessarily 8 8 policy changes, but things to consider, whether it be from themselves on the ground and proning themselves out. That 9 case law, refreshers. For example, they push out things 9 is the -- That is the --10 10 for breastfeeding laws. Q That's not prone restraint? 11 Q Yeah. 11 A That is proned -- proned out. 12 12 A You know, to make people aware of those. Q Right. 13 Because it's very -- it's misconstrued on what -- how you 13 A So whether that person was already prone prior 14 handle that situation. And it's pretty cut and dry. So 14 to us getting them under control, they're -- they're 15 they'll push out things like that that we would very 15 not -- they're not apples to apples. 16 easily come on a day-to-day basis contact with, things of 16 Q I think you and I are speaking the same 17 language. We know the difference between telling somebody 17 18 18 to get prone and proning themselves out and prone So the long-winded answer to your question is 19 yes, we get updates, sometimes legal updates, and we would 19 restraint. 20 20 sign off on anything that comes through our agency through 21 21 what's called PowerDMS. Q And in this case, we're talking about prone 22 22 Q What does DMS stand for? restraint; correct? 23 23 Yes A I don't know what it stands for. We just call 24 it PowerDMS. It's an electronical -- or electronic 24 Q All right. So some medical folks from NaphCare Page 79 Page 81

1 did respond to this incident; correct? 1 Q So I don't want to revisit the questions, we'll 2 2 A They did. rely on the record where he wasn't being restrained, he 3 3 Q And like the corrections officers, the medical wanted to get up, but he wasn't being allowed to get up, 4 4 staff, are you aware they complete their own reports and but he wasn't being held down. Are we going to revisit 5 5 their own -- they write everything down, try to make sure that or are we just going to let it stand where it is? 6 MR. PREGON: Objection. 6 they get it correct? 7 A Yeah. They're supposed to, yes, sir. A That's up to you. You're steering this car, 8 8 Q All right. And reading all these documents, not me 9 and I'm going to limit them for you today if I can, one of 9 BY MR. DICELLO: 10 the medical personnel that responded said, "The 10 Q All right. Are you aware of a rule in 11 patient" -- They refer to the inmate as a patient. "The 11 corrections and law enforcement that as soon as a person 12 patient was being held down in a prone position by several 12 is handcuffed when they're in a prone position that you 13 13 corrections officers." Because that's what was happening; have to get that person off of his or her stomach 14 correct? 14 immediately? 15 15 MR. PREGON: Objection. MR. PREGON: Objection. 16 A Several officers physically holding and 16 A I don't -- Does it say immediately? 17 restraining Mr. Richardson down at the same time? No. I 17 BY MR. DICELLO: 18 18 mean, what is the term -- Was there multiple, several, is Q I'm asking you. 19 19 that two, three, is it -- again, it's speculative and open A I don't believe it says immediately. I think to interpretation. No different than combative was that there's a reasonable timeframe to that. And I think that 20 20 21 we spoke about earlier. 21 that is, again, it's -- every case is going to be --22 BY MR. DICELLO: 22 What's reasonable. I think what was reasonable was 23 Q So the NaphCare employee that wrote, after this 23 Mr. Richardson was restrained, and then restrained in the 2.4 incident occurred, in his or her report, based on his or 2.4 most at that time comfortable position that we could keep Page 82 Page 84 1 her observations, that the patient was being Held down in 1 Mr. Richardson under what we feeled -- felt -- feeled. 2 a prone position by several corrections officers, that 2 sorry. 3 3 Q That's all right. person got it wrong? 4 MR. PREGON: Objection. 4 A -- was safe for him and for us under the 5 A I think you're reading a sentence that, again, 5 unknown circumstances, while we continually monitored him 6 both on his belly, onto his side, onto his belly, onto his 6 whether you've asked him or you're going to ask him, I 7 7 side, numerous different times, and then put into that assume -- my interpretation of what I saw was there was 8 8 restraint chair. That restraint chair was that part that transition between officers several times throughout 9 9 interaction with Mr. Richardson where several correction was initially asked for. And that is why we know that, 1.0 again, we're not going to leave Mr. Richardson just flatly 10 officers were transitioning from either the leg position, 11 11 his shoulder, transitioning Mr. Richardson to his side. laying on his belly throughout the process, which is not 12 So there was several officers involved in that process. 12 what we did in this case, and then he would have been 13 So a continuous of several officers or deputies physically 13 transitioned to that chair. And we just didn't get to 14 14 touching Mr. Richardson, I would say that that is that point unfortunately. 15 definitely inaccurate. 15 Q Mr. Richardson I think you -- I'm using a 16 16 BY MR. DICELLO: little bit different word -- but I think you told me he 17 was subdued by the officers there; correct? 17 Q You think that the video evidence would support 18 18 MR. PREGON: Objection. vour statement? 19 19 A I know the video evidence will support that. I never said "subdued." We were monitoring 20 him. 20 Because I can account for myself, Sergeant Jackson, and at 21 BY MR. DICELLO: 21 times other corrections officers in the standing position 2.2 Well, you said he was restrained. 22 at and around Mr. Richardson, but not physically touching 23 He was in cuffs, in handcuffs. That would be 23 him. So -- And that transition changed throughout the 24 video 24 restrained Page 83 Page 85

1 Q He was under your control; correct? 1 then also called out in that process, always by 2 2 Yeah, he was under control. procedurally, is going to be investigators with the 3 3 Was he subdued? Special Investigations Division. In this case, it was 4 I'm not sure I'm following you by the 4 Detective Michael, which is John Clymer, but we call him 5 5 difference of "subdued" and "restrained." I mean, not --Michael, Detective Mike Clymer was the investigator that I 6 Q Yeah, no longer violent, didn't present a 6 spoke to briefly that incident. And I believe there was 7 threat to anybody. He had been subdued. another detective or two called out. I want to say that 8 A Yeah, I don't know that. If you disengage from 8 there might have been a Detective Conley also called out. 9 anybody, does that change after the fact? That's 9 And I don't recall whether I had actual interactions with 10 situational and that's hindsight and we never got to that 10 him that day or not. He might have been handling some 11 point. So I don't know what would have happened. 11 other aspects of it kind of on the back end of it. 12 Q When you're arresting people on the street and 12 Q Why do they call it a risk management 13 13 they're being resistant, do you just keep them on their investigation? 14 stomach indefinitely? 14 MR. PREGON: Objection. 15 15 A Do I keep people that have been arrested A Any time that you have, in the line of duty, 16 without -- Well, again, it's not apples to apples. I 16 deaths, jail deaths, custodial deaths, there has to be an 17 17 don't -independent, maybe independent is not the right word, but 18 18 Q I'm trying to understand when you'd get this an agency who is overseeing that, one, was all the 19 19 auv off of his belly. policies and procedures that we were trained on, were 20 20 A When we're able to. When we're reasonably able those followed. If they weren't followed, why weren't 21 to, not only for our safety, but also for the fact that's 21 they followed? All that write-up is done. It's an aspect 22 2.2 come in about the person that we're interacting with, of the internal investigation into what resulted or did or 23 whether it be the person on the street. We don't prone 23 did not result in the outcome of what the event was, in 24 somebody out and tell them and then handcuff them and walk 2.4 this case Mr. Richardson's death. Page 86 Page 88 1 1 BY MR DICELLO: away and go run a license, their driver's license, and 2 2 Q Shouldn't it be a criminal investigation? leave them proned out. We don't do that. Again, he was 3 3 -- It's not apples to apples with what Mr. Richardson's A Well, Mr. -- It could involve that. It could 4 demeanor, previous demeanor and behavior was prior to 4 come into that. Or it could go criminally. And that's 5 5 why John Clymer, Michael Clymer, and those detectives, being restrained or as he was restrained. 6 6 Q So it's your testimony that Mr. Richardson Special Investigations, they do that -- they do that as a 7 needed to remain in the position that's depicted in the whole, both come in there, because it's easy for -- and 8 8 video for at least 22 minutes? I'll put this as my own past of doing both of those 9 9 A He wasn't in that position depicted in the positions. 10 10 video that you showed me for all of 22 minutes. He was 11 11 rolled over multiple times on his side, reassessed, A It's easy for me to come and sit there and say, 12 breathing mask, and things of that nature with medical 12 as an IA, here you guys go, this is everything. But what 13 13 staff. So the entirety of that incident he was not prone if what I saw is a little bit different than what you're 14 14 for 22 minutes. seeing right then and there and something that can be, 15 15 Q How many minutes was he prone? "Hey, did you see" -- It's no different than a homicide. 16 16 I don't know. "Hey, did you notice that there's a little bit of blood on 17 Q Did anybody investigate that? 17 the back of this bunk over here? Did you see that"? So 18 18 A That would be something that you would, there again, it's covering the bases of not only, one, is 19 19 obviously I'm sure, follow up with the investigators. it going to get to that point, is somebody going to be 20 Q Who were the investigators? 20 charged criminally, whether it be the roommate, whether it 21 2.1 A Well, the risk management investigation is be staff, it's done in conjunction so it can be as 2.2 handled by the people assigned to Internal Affairs 2.2 thorough as possible. Q Okay. 23 Division, which at that time would have been Sergeant 23 24 Tommy Flanders and Detective Michael Sollenberger. And 24 A You'll never get a sense of it's got to be

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1 video and everything else, seeing things live and what 1 A When the chair arrived and we could get the 2 2 you're seeing physically there is the best way to do any chair up the steps and get him transitioned 3 Q I deposed two other officers who say the chair 3 investigation. So that's why they're both called out at 4 the same time. 4 was there. 5 Q Your narrative says that "Officers D. Johnson A The chair did arrive. Q And I believe the chair was there within the 6 and Henning were replaced by Officers Beach, Mayes and 7 Marshall who continued to maintain control of Inmate first five minutes. 8 Richardson to prevent him from further injuring himself." 8 A I don't know that to be the case. 9 Is that what you wrote? 9 MR. PREGON: Objection. 10 A Uh-huh. 10 BY MR. DICELLO: 11 Q Because Officer Wittman just testified that he 11 Q Yes? 12 A Sorry. I was drinking. Yes. 12 saw the chair --13 13 Q Your statement "further injuring himself," I 14 read that to mean that Mr. Richardson had already injured 14 Q -- get delivered. So why wasn't the chair ever 15 15 himself once. brought upstairs? A Yeah. I mean, the blood, I don't know what the 16 16 A There could be several factors. And I don't 17 17 blood was from at that point. So was that him -- the know that his timeframe would be from the timeframe that 18 18 initial when they were trying to get him handcuffed? Did he arrived on scene, which I don't know when that was. So 19 19 was that 15 minutes after already what was going on? I he bang his lip? Again, I don't know. Again, I just know there was an injury, whether it be from medical, unknown 20 20 don't know the answer to that. 21 medical reason 21 O Let me break this down a bit. It sounds to me 22 22 Q So are you telling us that these, it looks like you're telling me, you said the safest position for 23 three officers replaced two, so they could control Inmate 23 Mr. Richardson under the circumstances would be to get him Richardson from moving so he didn't injure himself? 24 2.4 in the restraint chair: correct? Page 90 Page 92 MR. PREGON: Objection. 1 1 A For us to better assess him. The safest point 2 A From moving, from getting -- more so getting 2 was initially, yes, in the position that he was. 3 3 Q Okay. up, yes. 4 4 BY MR. DICELLO: A Continually moving him back and forth on his 5 5 side, monitoring him, and then transitioning him to the Q They were holding him down? 6 chair. Because in order to get him down to medical, we 6 A No. I mean, if he had got to that point where 7 7 he was going to try to get up, would they have held him would have to safely do so. Walking was not an option. 8 8 down? If that was necessary to keep him safe, then yes, So putting him in that restraint chair was the easiest 9 9 of course they would have. means to do so. 10 1.0 Q So you're telling us he wasn't trying to get Q And how long does it take to get a restraint up? 11 11 chair to a medical emergency? 12 12 A He was initially. But in this report, I don't A It depends on staffing. We can't leave cells 13 know whether he's 16 minutes into it where they 13 and other areas of the jail unsecured, so those have to be 14 14 maintained. So it depends -- a lot of it has to do with transitioned out, at what point was going on. But we're 15 just not going to -- what initially happened, we're not 15 staffing. 16 16 going to just 100 percent do an about-face. We have to be Q Do you know how long it took the restraint 17 prepared based on what was originally presented to us. 17 chair to get to this emergency on May 19th, 2012? 18 And again, the safest means for that was putting him in 18 A I do not. But what I do also know is that we 19 the chair. 19 were -- there was also at some point a determination of 2.0 Q So why wasn't he put in the chair? 20 whether they, medically, what medical wanted to do as far 21 A Because we weren't able to transition to that 21 as whatever the shot was that they administered or whether 2.2 point before we realized that he had stopped breathing. 22 that was going to be implemented before they put him into 23 Q What was the plan in terms of when you were 23 the chair. There was a conversation about that. So there 24 going to put him in the chair? 24 would have been a delay, also, for that. Page 91 Page 93

,	Co that above sould compared by an area form	,	A Harman day da la anagement day a la d
1	So that chair could very well be on scene four	1	A He was already in a position where we had
2	or five minutes. But there are other steps that at times,	2	everything under control.
3	and I'm not saying in this case that was the case, but I	3	Q So is that why you didn't roll him onto his
4	believe there was some type of mitigating circumstances as	4	back, because you were worried somebody might get hurt?
5	far as getting that shot dealt with first and then put him	5	A Because we already had the situation under
6	in the chair. I believe there was a discussion about		control.
7	that.	7	Q But we know there's an increased risk, or do
8	Q Would Robert Richardson have injured himself if	8	we, I thought we said, yeah, we know there's an increased
9	he was on his back?	9	risk of positional asphyxia when you've got overweight
10	MR. PREGON: Objection.	10	guys on their bellies and they're cuffed behind their back
11	A I have no idea.	11	and they're struggling and they're potentially on drugs
12	BY MR. DICELLO:	12	and
13	Q So why didn't you roll him over onto his back?	13	MR. PREGON: Objection.
14	A It's a matter of perspective. I mean, it's a	14	A And again, that's why we repeatedly put him on
15	matter of opinion. He was, again, continually being put	15	his side. He was not in the prone position for the
16	on his side, back to his stomach, on his side, and	16	entirety of the 22 minutes.
17	monitored. I have the full confidence that he was being	17	BY MR. DICELLO:
18	assessed in the proper means both medically and by the	18	Q The video will show how long he was in the
19	corrections officers.	19	prone position.
20	Q So you thought it was easier for the medical	20	A I understand. And that's fine.
21	staff to get access to his face and mouth while he was	21	Q Okay.
22	lying facedown as opposed to lying on his back?	22	A But again, we continually assessed him, put him
23	MR. PREGON: Objection.	23	on his side, put him back to prone, and continually
24	A Well, that's why he was transitioned to his	24	monitored him with the assistance of medical.
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1	cide also. And had thou not had thou needed him	1	Q In terms of risk factors that increase the risk
2	side, also. And had they not had they needed him transitioned to his back to better medical assess him,	2	
3	then we would have done that at their direction.	3	of positional asphyxia for somebody in a prone position
4	BY MR. DICELLO:	4	being restrained, do you agree that obesity is a risk
5	Q So you were able to roll him onto his back if	5	factor for positional asphyxia? A It is a risk factor, yes.
6	you guys wanted to; correct?	6	•
7		7	Q And particularly, a large abdomen; correct?
8	A We were probably able to do a lot of things.	8	MR. PREGON: Objection.
	Q Let's try to get from one question to the next,	9	A If you're A large abdomen probably comes
9	Sergeant. I do appreciate the banter, but we have to get		with obesity in most cases. Not always.
10	answers to the questions and move to the next one.	10	BY MR. DICELLO:
11	Between you and the number of other correction	11	Q Right. So particularly an obese person that
12	officers that were there at the time, you could have	12	has a large abdomen is at greater risk of positional
13	turned Mr. Richardson onto his back; correct?	13	asphyxia, because when they're laying on their belly, the
14	A We could have, but I would not have.	14	contents of the stomach press up into the diaphragm. Do
15	Q And you could have done so without injuring	15	you understand that?
16	yourselves; correct?	16	MR. PREGON: Objection.
17	MR. PREGON: Objection.	17	A I do. But also at the time that I arrived on
18	A I don't know that to be the case.	18	scene, I was not assessing Mr. Richardson's abdomen. I
19	BY MR. DICELLO:	19	mean, that wouldn't be part of my
20	Q So somebody probably would have got hurt if you	20	BY MR. DICELLO:
21	tried to roll him onto his back?	21	Q You could have assessed that if you rolled him
22	A It's possible.	22	over onto his back; correct?
23	Q But it wasn't possible that somebody would get	23	MR. PREGON: Objection.
24	hurt by keeping him on his belly?	24	A Yeah, I guess I could have.
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1	BY MR. DICELLO:	1	factors that it could come from, yes.
2		2	BY MR. DICELLO:
3	Q And you know that's a risk factor for	3	
	positional asphyxia; true?	4	Q And that's why you understand that
4	MR. PREGON: Objection.	5	participation in a struggle is also a risk factor for
5	A Size and weight is a risk factor.		sudden death by positional asphyxia; correct?
6	BY MR. DICELLO:	6	MR. PREGON: Objection.
7	Q Is drug use a risk factor for positional		A Say that one more time.
8	asphyxia?	8	BY MR. DICELLO:
9	MR. PREGON: Objection.	9	Q Yeah. Participation by the inmate in a
10	BY MR. DICELLO:	10	struggle is a risk factor that increases the risk of
11	Q Do you know?	11	positional asphyxia. Were you aware of that or were you
12	A I don't know that all drug use is. I don't	12	not aware of that as of May 19th, 2012?
13	know that I could speak on that.	13	A No, it's a factor. Any time somebody is at a
14	Q All right. Psychosis?	14	heightened state it can increase things, yes.
15	MR. PREGON: Objection.	15	Q The use of rear handcuffing while in a prone
16	A Yeah.	16	position is a risk factor that increases the risk of
17	MR. DICELLO: What's the basis of these	17	positional asphyxia; true?
18	objections?	18	A Yes.
19	MR. PREGON: I'm not sure You haven't	19	Q An enlarged heart, is that a risk factor for
20	established a foundation for whether he would know that or	20	positional asphyxia?
21	not. If you're asking him if he knows that, that's fine.	21	A Medically, I don't know. I mean, I could make
22	But you're asking leading questions.	22	assumptions, but I'm not going to do that.
23	MR. DICELLO: Yeah, I'm allowed to lead him.	23	Q Foam or mucus coming from the nose or mouth, is
24	He's a defendant in the case.	24	that a risk factor that increases the risk that somebody
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1	MR. PREGON: Then I'll object to foundation.	1	might be asphyxiating from their positioning?
2	BY MR. DICELLO:	2	A Yeah, it could be, but I don't know that that
3	Q And if you don't know the answer, you can just	3	was the case in this situation.
4	say "I don't know."	4	Q Signs of someone who is having trouble
5	A Yeah. As far as the medical, what medicines a	5	breathing, that's another risk factor for positional
6	person could be on or things of that nature, I would I	6	asphyxia; correct?
7	have no idea.	7	A Yes.
8	Q My question is: Do you know whether psychosis	8	Q And obviously verbal complaints that somebody
9	is a risk factor for positional asphyxia?	9	can't breathe is another risk factor for positional
10	A I do not.	10	asphyxia; correct?
11	Q Pre-existing heart disease?	11	MR. PREGON: Objection.
12	A No. I mean, I I'm sure it could be a	12	A Yes, sir.
13	factor, but I don't know it to be a I mean, I don't	13	BY MR. DICELLO:
14	know it to be 100 percent.	14	Q So I've seen the video, Sergeant Lewis, and we
15	Q Pressure on the abdomen?	15	can look at it if you want. But it seems to me that you
16	A Without even being obese? A person being on	16	were positioned on the right side of Mr. Richardson for
17	top of somebody putting pressure on their abdomen, that	17	most of the time; correct?
18	could be positional, yes.	18	A Yeah. I was at a bend in the rail of the
19	Q Respiratory muscle fatigue?	19	kind of the way the catwalk works on that floor, I was
20	A Yes.	20	kind of on this side of it. You can see where I had my
21	Q And that comes about as a result of struggling	21	elbow rested just monitoring.
22	with officers; correct?	22	Q And you were kind of closer to where his feet
23	MR. PREGON: Objection.	23	were pointing as opposed to where his head was pointing?
24	A Yeah, it could come that's one of the	24	A No, I would have been closer to his head.
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1	Q Closer to his head. It's a little hard to tell	1	timeframe. I don't know.
2	the dimensions.	2	Q All right.
3	A Yeah, his feet were more towards where the	3	A And I don't recall what part of that, even when
4	doorway was, and his head towards the railway, and you can	4	I came in and out of I know I was in and out of there,
5	see I was sitting there, watching the COs, and at one	5	but I don't recall whether I was out of the Delta Pod
6	point I had walked into his housing unit, the 544, and	6	prior to realizing that Mr. Richardson had stopped
7	walked back out.	7	before we realized he had stopped breathing. But I know
8	Q Did you hear Mr. Richardson say anything other	8	that I was probably out of the video a few times. And
9	than "Get off me" and "Let me up"? And I think what you	9	whether that was me going back down to the lower level or
10	told me is you heard him say that at or around the time he	10	whatnot, I don't know.
11	was first cuffed up; right?	11	Q Did you witness any uses of force on that date?
12	A Yeah, in proximity of after we had I don't	12	A Well, I mean, restraining somebody technically
13	know if he was completely restrained at that point or he	13	is use of force. So yes.
14	was not. I don't I'm not positive about that.	14	Q Okay.
15	Q Did you hear him say anything else over the	15	A I mean, restraining somebody from letting them
16	The video shows it's about 22 minutes long. Did you hear	16	get up. I mean, it's
17	Mr. Richardson say anything else over the next 22 minutes	17	Q That's what I think.
18	or so?	18	A Yeah.
19	MR. PREGON: Objection.	19	Q So
20	A No, I don't recall. I mean, I don't recall him	20	A Is it the use of force for spray and along
21	saying anything else.	21	those same lines under the same guidelines? No. I mean,
22	BY MR. DICELLO:	22	they are different. I mean, I can use some type of
23	Q Did you hear him making any noises?	23	limited force.
24	A Nah.	24	Q Well, no Use of Force Reports have been filled
			·
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1	Q Could you hear him breathing?	1	out that I can find.
2	A I could see that he was breathing.	2	A Uh-huh.
3	Q Did you see whether he was sweating or not?	3	Q Yes? Have you seen any?
4	A I don't recall whether he was or whether he	4	A No, huh-uh.
5	wasn't.	5	Q But force was used against Mr. Richardson;
6	Q So Mr. Richardson was just lying there making	6	correct?
7	no noise at all and he wasn't saying anything?	7	A Not in the sense of that, no.
8	A I'm not saying that he was or he wasn't. I	8	Q Isn't it true, Sergeant, that force was used
9	just don't recall.	9	against this man up until the point he died?
10	Q Don't remember?	10	A Not Not the force that you're you're
11	A Yeah. I know he had that little bit of blood	11	Q A different kind of force?
12	around his mouth, which Medic Stockhauser was dealing	12	A Yes.
13	with.	13	Q What kind of force was used against him, the
14	Q Medic Stockhauser reported that Richardson kept	14	kind that doesn't have to be reported?
15	yelling. Did you ever hear him yelling?	15	A There are There are So if I put my hand,
16	A I don't remember that he was. He may have	16	and say, "Hey, come on over here," that's not a Use of
17	been. I don't remember. It's been quite some time ago,	17	Force Report.
18	obviously.	18	Q What about putting somebody facedown on the
19	Q Medic Stockhauser said "Inmate Richardson kept	19	ground and handcuffing them, hands behind their back?
20	yelling 'I want loose' and 'Get out of here." Do you	20	A I don't I don't Again, he was facedown
21	remember him yelling those things?	21	when I got down there.
22	A No, huh-uh. Keep in mind, too, I was also in	22	Q How do you think he got there?
23	and out of the Dolta Dad. So again, what was said and	23	A There was no That's something that you're
	and out of the Delta Pod. So again, what was said and		··· ··································
24	what wasn't said could have also transpired during that	24	going to have to ask Sergeant Jackson and Dusty Johnson

1	and Officer Henning, his position when he came out of that	1	use of force?
2	door.	2	A No.
3	Q Are you surprised that there's no Use of Force	3	Q "Fearing an unintentional attack on staff,"
4	Reports considering this man was on the ground being	4	what is that? What is an unintentional attack? Do people
5	restrained, was handcuffed for the better part of 22	5	attack people unintentionally at the jail?
6	minutes until he died?	6	A Yeah, if they're jerking, whatever. It could
7	A I'm not surprised.	7	be people that aren't aware or in the right state of mind,
8	Q That's how it works at the jail, Use of Force	8	could not intend to do any harm to anybody, but their
9	Reports aren't filled out in those circumstances; correct?	9	actions could cause harm to an individual. No different
10	MR. PREGON: Objection.	10	than the earlier scenario like I stated about
11	A That's not the case. We're very diligent on	11	unintentionally everybody going over that rail.
12	filling out our Use of Force Reports when appropriate.	12	Q Okay.
13	BY MR. DICELLO:	13	A The same thing.
14	Q So why weren't they filled out here?	14	Q Here's another statement in your reports.
15	A Because the assessment of what was reported	15	"Fearing an unintentional attack on staff, I escorted I
16	during this incident didn't require it.	16	assisted Richardson onto his stomach and secured his left
17	Q Why not?	17	arm behind his back. I straddled his lower hips in an
18	A It didn't meet the requirements.	18	attempt to control Richardson's movements so he would not
19	Q What are the requirements then, if holding	19	injure himself or staff." That's not a use of force?
20	someone down until they die doesn't meet it?	20	A No.
21	MR. PREGON: Objection.	21	Q "I took control of Inmate Richardson's
22	A Strikes, balance displacements, things of that	22	shoulders while he was on the ground to prevent him from
23	nature. And none of that was reported to me and nor was	23	rolling over." That's not a use of force?
24	it witnessed by me. Placing somebody and securing them in	24	A No, it's not.
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	5		5
1	handcuffs and maintaining their position down on the	1	Q He was trying to roll over?
2	ground is not a Use of Force Report. Using strikes and	2	A It's not a use of force.
3	things of that nature, pressure points, would be would	3	Q Mr. Richardson was trying to roll over though;
4	elevate that would change that situation.	4	right?
5	BY MR. DICELLO:	5	MR. PREGON: Objection.
6	Q So the escort position isn't force?	6	A That's what his report says.
7	A Where was he put in the escort position?	7	BY MR. DICELLO:
8	Q Have you read the reports from your other	8	Q "I assisted in restraining him by controlling
9	officers where they said they escorted him to the ground	9	his right arm and shoulder." None of that stuff was use
10	to prevent him from unintentionally attacking people and	10	of force?
11	injuring himself?	11	A No.
12	A I didn't Yeah, I didn't see where they said	12	Q When would it have gotten to the point where it
13	they escorted him to the ground.	13	was a use of force that had to be reported as such?
14	Q Okay. I think two officers list that that is	14	A Well, I previously stated strikes, pressure
15	what they did. So if they escorted him to the ground, is	15	point manipulation, things of that nature.
16	that a use of force that should be reported in a Use of	16	Q Do you remember where you were when someone
17	Force Report?	17	noticed that Mr. Richardson was dead?
18	A Depends on the sense of escort, I would say.	18	MR. PREGON: Objection.
19	Q Okay. A That would be compething you would have to ask	19	A You mean when when we noticed he stopped
20	A That would be something you would have to ask	20	breathing?
21	them.	21	BY MR. DICELLO:
22	Q "He was assisted onto his stomach and secured	22	Q Right. I mean, not breathing is inconsistent
24	with two pair of handcuffs. I placed my left knee over his lower legs to prevent him from kicking." That's not a	24	with life; right? A Well, not breathing and dead are two different
24	indicated logs to prevent him from kicking. That's flot a	24	Well, not breathing and dead are two different
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1 1 things, actually. blow-by-blow here, but you were present for the CPR 2 2 Q Do you remember where you were when efforts and --3 3 Mr. Richardson stopped breathing? A Yes, I was. 4 A I do 4 Q Did you stay with the body until Mr. Richardson 5 5 Q Can you tell me that? was declared deceased? 6 6 A Yeah. I was at that split of the rail that I A The entire time, I don't believe I did. I 7 told you about. think I was -- Again, there's procedural stuff that takes 8 8 Q The same place you told me about before? place once the -- once it's determined -- resuscitation 9 9 attempts are called to an end, which that decision is a 10 Q Are you the one that announced "I'm worried 10 protocol procedure through the fire department, EMS, they 11 he's not breathing anymore" or was that somebody else? 11 have protocols that they have to follow, that they test 12 A It might have been a couple of us. But I know 12 for and certify every year, and they renew. And again, 13 13 that I said, "Hey, check his breathing." Yeah, "check his the only reason I know that is just my about to be 14 breathing." I noticed there was a change. 14 dangerous knowledge of the back in the day doing that 15 Q And what did you notice? That's a better 15 stuff. 16 auestion. 16 So they either make a phone -- they make a 17 17 A Yeah, he wasn't -- When I was watching and phone call to medical and let -- be in the hospital, let 18 18 monitoring from that position, I could tell that he was them know the situation that they have. They also let 19 19 breathing. I mean, he still -- even his size, again, I them know that they had a doctor on the scene that was 20 don't know what his weight was, but he's a broad guy, a 20 concurring with their failure to reassess -- to bring 21 big guy, and I noticed that that stopped. So that's when 21 Mr. Richardson back to life. 22 2.2 I said "Check him." Q Okay. 23 Q Okay. And who checked him; do you remember? 23 A So I was -- Yeah, I was -- I believe I was out 2.4 Was it Stumpff? 24 and back in. I was definitely back into the pod when he Page 110 Page 112 1 A There was Stumpff, Mayes was down there, also, was removed. 2 in that area. Again, Beach was already on the scene. But Q You interviewed his cellmate? You interviewed 3 at the head position, I want to say it was Mayes and Mr. Richardson's cellmate? 4 Stumpff, I want to say. 4 A I did 5 Q What do you remember them saying to you? Did you interview any other detainees? 6 A They advised that, yeah -- I mean, at some A No. I don't believe I did. point they assessed him and stated that they did not Q And then I think your report says a detective 8 believe he was breathing, and then he was transitioned to 8 responded and you delivered all the information that you 9 9 his back had to the detective? 10 Q Why? 10 A Yeah, I gave Mike Clymer, John Clymer, a verbal 11 A For that situation, if he's not breathing, then 11 of what Mr. Maxwell had told me, and then I had a brief 12 we have protocol medical we may have to go into, one, 12 discussion with Jim Fannin, he's the coroner's 13 opening his airway to perform, you know, we're hoping not 13 investigator that comes out when there's another procedure 14 CPR, but this case we have to be prepared for that. But 14 that's still going to take place, and just give him a 15 it's the best way to assess. 15 brief synopsis. So what he does for a write-up, I don't Q His handcuffs weren't removed though; correct? 16 16 know what he does for a write-up. But he takes photos 17 A I don't know at what point they were. Again, 17 similar to what it would be for one of our techs coming 18 those handcuffs are a very -- that's why we put them on 18 out and doing a criminal investigation. 19 there, because it allows his arms to be to his side. So 19 Q I saw there's a log, a pod log that shows every 2.0 even if he was rolled over, his arms would have been at 20 time somebody goes in and out, in and out. And I saw --2.1 his side without being underneath his body, because of the 21 One of the detectives you haven't mentioned is a Detective 2.2 length of that chain. That's why we had transitioned him 22 Michael Sollenberger. Was he is involved in investigating 23 previously to those. 23 this at all to your knowledge? 24 Q Okay. I don't think we have to go through it 2.4 A Yeah. He's in the Inspectional Services Unit, Page 111 Page 113

1 1 Internal Affairs. He would have been involved in that --Whether those are really his, that's his 2 2 into the risk management that we spoke of. argument. I know what his argument was during the 3 3 Q Detective Sollenberger has no business being a internal investigation, because I was told that he alleges 4 4 corrections officer, does he? those aren't him and they were fabricated or whatnot, he 5 5 MR. PREGON: Objection. was going through a custody battle in a divorce. He'll 6 6 A As far as what? I don't understand what you have to answer to that. 7 7 mean by that. All I know is my one text message that I was 8 8 BY MR. DICELLO: disciplined for was a slang term that was not appropriate 9 9 in the context of the conversation that he sent me one Q He's racist? 10 A That's a matter of your opinion. 10 night. And during those three years of text messages that 11 MR. PREGON: Objection. 11 they had or whatnot, that was the only span where 12 BY MR. DICELLO: 12 anything. So had I had known that he was just an outright 13 13 Q What's your opinion? racist individual, he probably would have been sending me 14 14 a lot more text messages than what he did that night. And A I've seen Detective Sollenberger throughout 15 investigations throughout his career treat everybody 15 the only reason he sent me a text message that night was 16 fairly, properly. I've never seen him act outside of that 16 because he ran into a friend that he knew was a close 17 17 means while -- during the presence of myself on duty. And friend of mine. Otherwise, I probably never would have 18 18 I know what you're getting at. received a text message from him. 19 19 Q Yeah. I'm not going to beat around. You know Q But excuse my language, okay? Please. But he 20 exactly what I'm getting at. 20 did send you a text message where he said, "I hate 21 A I'll just lead into that, so we can knock that 21 niggers"? 2.2 2.2 A He did. And I did not respond. door down now 23 Q His behavior is totally unacceptable; agreed? 23 Q Black people in this community have the right, 24 MR. PREGON: Objection. 24 the constitutional right, not to be subject to Page 114 Page 116 1 1 discrimination over at the jail; agreed? Nick, will you give me a continuing on this 2 line so I don't have to keep objecting? 2 A Lagree. 3 3 MR. DICELLO: Sure. Q Do you think that it was his wife that was 4 MR. PREGON: All right. 4 texting you that day that said -- when he said, "I hate 5 5 niggers"? A This is going to be stuff that Mike is going to 6 6 have to answer to, because when the investigation A Well, if I'm responding to that text, obviously 7 7 my assumption would be that it was Mike Sollenberger, initiated for our off-duty incident, our contact has not 8 8 -- we have not had contact. which is what I reported in my investigation. 9 9 Q When Detective Sollenberger texted you "I hate BY MR. DICELLO: 10 10 O Good. niggers," did you report him? 11 A Over a timeframe of I think two to three years, 11 A Did I report him? No, I did not. 12 12 there were text messages. What happened between Mike Q Why not? 13 13 Sollenberger and all the other people he was texting, A I did not -- That was a private conversation. 14 14 outside of me, is something that Mike is going to have to And again, his interactions with people at work and around 15 15 answer to. Whether -- And I've read the investigation, me had never showed that side of him mistreating anybody. 16 16 And I took it as nothing more than just words. I mean, no not all of it in its entirety because, like you, I'm sure, 17 it's like, geez, do you know what I mean? I just don't --17 matter how inappropriate they are, people say things that 18 are outside of color that could be considered harsh or 18 I don't want to sound like I don't -- I worry about what I 19 feel about people and how I am. I have enough negativity 19 insensitive. 20 that I have to deal with on a day-to-day basis just from 20 Q Well, it's three words. So how could it be 21 21 what's going on in the world itself and everything else. interpreted other than "I hate niggers"? 2.2 So I skimmed through a lot of their stuff. I know it's 22 A And again, I did not respond to that. 23 23 bad. I saw what people saw on the news. But I still to Q I understand. But I mean, you've told us you 24 this day haven't read every single text message. 24 have this duty to protect people's constitutional rights, Page 115 Page 117

and you know there's African American people, black people 1 black people, that he hates black people, no matter how 2 2 inappropriate his text message was to me. like Robert Richardson, who you're responsible for the 3 care, custody, and control over; correct? 3 Q Well, what does he say after he says "I hate niggers"? 4 A I am. But that text message didn't have 4 5 5 anything to do with the care that we provided A That's the last text I see on here. 6 Mr. Richardson. And Detective Sollenberger wasn't there Q What are the next two words? "That is all." You'll have to ask him what he during the care of Mr. Richardson. 8 Q He showed up and investigated it. 8 9 So his -- his involvement in that would not 9 You never asked him? 10 have changed the outcome of Mr. Richardson's -- whether he 10 No. Why would I? I didn't respond to the 11 lived or he died. So what he said text message wise 11 12 really is a whole separate issue. It's a whole separate 12 Q Are you telling me you had no reason to ask why 13 13 somebody who has risen to the ranks of a detective in your 14 Q That's what you think? 14 department and who is responsible for investigating 15 15 Yes, I do potential excessive uses of force against black people who Q Are you willing to take some responsibility 16 16 are subject to the care, custody, and control of your 17 17 department, you had no reason to question whether this guy today on the record and say you should have reported him 18 for that kind of comment, that that should be brought to 18 was fit for the job after he sends you a text message a 19 19 few months before my client died saying "I hate niggers. the sheriff's attention? 20 20 A What relevance does that have? That is all"? 21 Q He's not fit to be investigating uses of force 21 A If I was concerned that Detective Sollenberger 22 22 down at the jail against black people. based his investigations or was biased on his 23 A Again, at that time, I didn't even recall the 23 investigations because of color, he would have been text messages. And I only recall still the fact that he 24 24 reported. Page 118 Page 120 ran into a friend of mine at that -- at Boston's Bistro. 1 Q You don't want to talk to me about his bias, do 1 2 Q Sergeant Lewis, are you making excuses for 2 you? Do you want to talk about his bias towards black 3 3 **Detective Sollenberger's text?** people? A No. 4 4 You're steering the car. Whatever you want to 5 5 ask me Q Then don't. 6 A I think you need to ask him what his mind is. Q I'm trying to give you a chance to take some 6 7 They're not -- They're racist remarks. It doesn't take me responsibility and say "This is totally unacceptable and 7 8 it shouldn't happen." 8 to bring that up or you to bring that up. You know what 9 9 is racist. The word "I hate niggers" is not appropriate. A I'm going to say this, hopefully for the last 10 time. I am taking responsibility for my actions. If I 10 But I still fail to see what relevance that has 11 Q To the death of a black man in the jail? 11 thought that texting was all appropriate, Mike 12 12 A Yeah, for me. Yes. Sollenberger and I would probably still be out drinking 13 13 Q This text message he sent to you was sent beer, maybe at Boston's. We don't do that. So do I think 14 14 before he showed up to investigate Robert Richardson's that those text messages are acceptable? No, I do not. 15 15 death, wasn't it? Do I think that that had a bearing on this investigation 16 or how Mr. Richardson was treated by the staff that I was 16 A I don't know. 17 in control of during that timeframe? One hundred percent 17 Q It looks like it was sent to you March 30th of 18 absolutely not. 18 2012: correct? 19 A Yeah. I'm well aware of the text. 19 Q Why not? How can you be so positive of that? 20 Because I'm a good judgment of what's right and 20 Q I'm sure you are. 21 A And again, what his state of the mind is, what's wrong and how investigations are handled. And the 21 22 agency as a whole, there are steps and procedures that 22 whether he is flatly using the term as a blanket statement 23 take place. Mike Clymer, who does that side-by-side 23 against a person of color, you'll have to ask him. I 24 don't believe that he has a blanket statement against 24 investigation, if Mike Clymer, who is not implemented in Page 119 Page 121

1 any of these text messages, felt that something was being 1 trying to take over Boston's", the local restaurant? 2 2 A Yes, sir. That's what I was disciplined for, covered up, then we would have been down that different 3 3 road, that criminal investigation aspect. I mean, it yes, sir. 4 4 would have been reported, and then so on for the following Q So what gate were you talking about? 5 5 steps. That was not the case. That is a term that we use in law enforcement 6 6 Q Captain Flanders was implicated in this? meaning --7 Q Who was letting the black people in? 8 Deputy Jamie Horton was implicated in this? 8 A No, that's not what it means. That's your 9 9 Yes, he was words, not mine. And if you read my investigation, you'll 10 Does he work at the jail? 10 see that that's not my words nor will it ever be my words. 11 11 No, he does not. The gates meaning we have areas that we patrol, like 12 Deputy Joseph Connelly, he was implicated in 12 Washington Township, and we'll use the same thing when we 13 13 this? Does he work at the jail? have a rash of break-ins, which is uncommon for an area, 14 I don't know where -- He was a deputy on the 14 "who left the gates open," meaning the bubble of the Emerald City. So that is a common term that we use, and 15 15 road as far as I know. not in the context that you're alluding to. 16 Q Why does it say Jail Division next to Deputy 16 17 17 Jamie Horton's name if he doesn't work at the jail? Q And what is nog beer? 18 18 He works at the jail -- He works at courts, A Nog beer is black thug. 19 19 which is under the Jail Division. So he does transports Q "Nog" means black thug? 20 back and forth to the courts. 20 "Nog" means thug in the sense of black, just 21 Q Deputy Brad Daugherty, he was implicated in 21 like calling somebody white trash. It's the same. It's 2.2 2.2 no more -- It's no nicer than calling a person white this. Do you know if he works at the jail? 23 trash. And that's no nicer than what I -- what I -- what 23 He does not. 2.4 24 Q The first time he uses a racial slur "nigger" I said. Page 122 Page 124 1 during your text messages with Detective Sollenberger is MS. STARTS: Can we take a quick break? 2 -- BTW, do you understand that means by the way? MR. DICELLO: Sure. 3 A Yes (Discussion held off the record.) 4 4 BY MR. DICELLO: Q "BTW, the niggers are trying to take over 5 Boston's." That's the text message he sent you? 5 O So we're back from a short break. I appreciate 6 6 A Yes your patience with me here, Sergeant. 7 Just for purposes of identifying these text Q What did you think --8 messages for the record, I'm showing you what's been 8 A That's based on --9 9 Q What did you think he meant by that? marked as -- the Bates stamp is MC 3548. And do you 10 MR. PREGON: Objection. 10 recognize this series of text messages as text messages 11 A What do I think he meant by that? 11 that were exchanged between you and Detective Sollenberger 12 in March of 2012? 12 BY MR. DICELLO: 13 Q How did you interpret that text? 13 A Yes. They would be consistent with text 14 A I interpreted it as he is talking about black 14 messages exchanged between us, yes. 15 thugs and he's using a word -- he's using a word I don't 15 MR. PREGON: You didn't make that an exhibit, 16 use. Now, whether he chooses to use that word, that's 16 did you? something he has to answer to. I don't agree with that. 17 MR. DICELLO: I didn't. 17 18 18 BY MR. DICELLO: That's why you're not seeing me talk like that on there. 19 Q Well, your response is "Who left their gate!" 19 Q And in addition to some of the things we 20 20 Exclamation point, exclamation point, exclamation point, already read, Detective Sollenberger, when he mentioned to 21 21 exclamation point, exclamation point, exclamation point, you that there were four -- and again, I'm going to use 22 exclamation point, exclamation point, exclamation point. 22 the language he uses, and it's frustrating to have to do 23 "The don't serve Nog beer there." That was your response 23 that, but when he said there were four niggers at the bar, 2.4 to the detective saying, "By the way, the niggers are you said, "I hope u packing"; right? Page 123 Page 125

1	A Uh-huh.	1	BY MR. DICELLO:
2	Q Yes?	2	Q So I'm showing you. It says Sergeant Tom
3	A Yes.	3	Flanders.
4	Q And then he said, "I'm not. I'll stab a coon."	4	A Yeah. I didn't think he had been a captain. I
5	A Uh-huh. Yes, sir.	5	wasn't positive.
6	Q And you said, "Thataboy."	6	Q Well, thanks.
7	A Yes, sir.	7	So as of November 2012 when the risk management
8	Q Now, in terms of the organization, captain is	8	review report was completed by the Inspectional Services
9	pretty high up there; right?	9	Unit, Tom Flanders was a sergeant; correct?
10	A Yes, sir.	10	A He was.
11	Q If I understand this correctly, it goes	11	Q And he has since that time been promoted to
12	sheriff, chief deputy, major, captain?	12	captain?
13	A Yes, sir.	13	A Yeah. He was promoted to captain prior to his
14	Q Correct?	14	termination, yes, sir. After the incident with
15	A Yes, sir.	15	Mr. Richardson, he was promoted to captain.
16	Q And when it comes to the Jail Division side of	16	Q And then at some point in time, Captain
17	things, there's a major that oversees the jail; yes?	17	Flanders was terminated; correct?
18	A Yes, sir.	18	A He was.
19	Q And then right underneath the major are two	19	Q For engaging in racist text messages with
20	captains; correct?	20	Sergeant or I'm sorry Detective Sollenberger;
21	A Yes, sir.	21	correct?
22	Q A captain on the administrative side and a	22	A Yeah. The conditions of his termination, I
23	captain on the operations side; correct?	23	don't know whether they were for the totality of the text
24	A Yes, sir.	24	messaging or the violation of insubordination as far as
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1	Q What was Captain Flanders? Do you know if he	1	the truthfulness during an investigation. And I don't
2	was operations or administrative?	2	recall which, if not both, were mitigating or one
3	A He wasn't a captain then. He was a sergeant	3	outweighed the other as far as the termination. I think
4	assigned to the Inspectional Services Unit.	4	that would probably be in his disciplinary hearing
5	Q So at the time he was text messaging with	5	finding.
6	Detective Sollenberger, he was not yet a captain?	6	Q Do you think members of the black community in
7	A No, I don't believe he was.	7	this area should have faith and confidence in the sheriff
8	Q As of May 7th of 2012?	8	that he's not employing racist corrections officers?
9	A Yeah, I'm fairly certain he was a sergeant back	9	MR. PREGON: Objection.
10	then. Because I think if you you probably have copies	10	A I think that the citizens of Montgomery County
11	of the risk management, is that right, I would assume? I	11	should be very oh, what's the word satisfied with
12	believe that Tommy Flanders's signature is probably on	12	Sheriff Plummer's handling of the Montgomery County
13	that as a sergeant, if I'm not mistaken. I've never seen	13	Sheriff's Office. Those text messages, and I'll speak for
14	the risk management. But I don't think Tommy was a	14	myself, I'm not going to be speak on behalf of Mike
15	captain yet during that.	15	Sollenberger or Tommy Flanders, but for myself are
16	MR. PREGON: You're talking about the one from	16	obviously, I wish it never happened. Whether I sent the
17	the Richardson matter, that report?	17	one text, the one response, at the end of the day, one,
18	THE WITNESS: Yeah.	18	ten, what did it really matter in hindsight now that I
19	MR. PREGON: Okay.	19	look back at it? It can't change what I did, what I said.
20	A I mean, he may have been. But I don't think he	20	And I'm not going to speak to whether he thinks he should
21	was, because I'm trying to think who was assigned to	21	have changed or whether he should have changed anything.
22	Internal Affairs with Mike when that investigation was	22	So that's something that I think Detective
23	done. And he might he might have been. He might have	23	Sollenberger or Mike Sollenberger and Tommy Flanders will
24	been. I'm just not positive. Sorry.	24	have to answer to. But I think the sheriff's office
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1 and I know the sheriff's office does not condone this 1 Q The people that were investigating this death 2 behavior, and Sheriff Plummer did exactly what I think 2 work for the county; correct? 3 3 anybody would expect a man to do, and more, since then. They do. 4 And I know for a fact that he is beloved by the community 4 Q They're fellow officers; right? 5 5 in Montgomery County for his interactions with all the They are. 6 public, including the black community. And I don't think 6 Q And you guys would lay down your life for one that there's any black leader in Dayton that would another; right? 8 disagree with that, because of his interactions, and 8 A I would lay down my life for anybody deemed --9 continued. And this was prior to all of this. And I 9 that is deemed necessary if it gets to that point, whether 10 think that's why they have a great deal of respect and did 10 an officer or a citizen. 11 11 meetings in public and talked about this. They were able Q But there is a brotherhood amongst fellow 12 to do that side by side and implement even further changes 12 officers? 13 13 with the sheriff's office. So as a whole, I think our A Of course there is. Yes, sir. 14 sheriff's office does a great job hiring people. 14 Q So these folks who are supposed to be 15 Q Were you ever interviewed by any investigators 15 conducting an independent investigation into the death of 16 as to what happened? 16 a non-officer at the hands of officers, you have to 17 MR. PREGON: Are we back on the Richardson? 17 understand, I mean, admit, they've got some bias; right? 18 MR. DICELLO: Yeah. Sorry. 18 MR. PREGON: Objection. 19 BY MR. DICELLO: 19 A No. I don't -- I'm not going to -- Again, it 20 20 Q Yeah, let's put the text messaging aside, goes back to that oath that you asked. Again, I don't 21 hopefully for the last time for you, frankly. But were 21 have it memorized by any means. But within that oath it 22 22 you interviewed by investigators surrounding the death of talks about integrity and honesty, and things of that 23 Robert Richardson? 23 nature. So that comes into that oath. And that's exactly 2.4 2.4 A No. There was no interview. There was a brief what we are held to, that standard. And then when we Page 130 Page 132 1 pass-on, preliminary pass-on to Mike Clymer, again, that I don't do things within that standard, there are 2 had talked to Mr. Maxwell, and then John had some -- John repercussions. No different than the repercussions that I 3 3 and Mike being the same person, Clymer had some -- I faced that we've previously discussed, and the other 4 4 officers believe a follow-up with Mr. Maxwell. 5 Q Did the investigators interview anyone? 5 So no. I do not believe the investigation is 6 6 A Yeah. I mean, they did. Who they interviewed, biased. And that's why we're put in these positions, to 7 7 I don't know. be able to do an unbiased investigation. 8 8 Q Would they have documented those interviews Q Well, given that's the standard and that the 9 9 based on your experience? I think you said you've handled investigation needs to be unbiased, why wouldn't a record 10 10 some of these kinds of investigations. be created of what the witnesses are saying when they're 11 A Yeah, they would put -- No different than what 11 being interviewed, much like we're doing right now? 12 I did here. I did a brief of what Mr. Maxwell told me, 12 A Yeah, I can't speak for who they spoke to, and 13 implemented in my report. They would do similar 13 I'm not 100 percent about this, but I believe there were 14 documentation. It could be either in the form of actually 14 some witness statements. I don't know that to be a fact, 15 injected into their investigation, or they could refer to 15 but I believe there are some witness statements even 16 that information saying "see statements" or things of that 16 nature. So there are a couple of different variables of 17 Q Well, you're the first person I've deposed who 17 18 18 how that could be done. was interviewed. 19 Q Do they -- In your experience, do they record 19 Uh-huh 20 these interviews? 20 Q Yeah? 21 A Not all interviews, no. 21 A I'm sorry, what? 22 Q So we have to rely on the investigator's 22 Q You're the first person I've deposed who was 23 23 version of what the people who were interviewed said? even spoken to or interviewed by an investigator. So the 2.4 A That's correct. 2.4 other officers were not. Page 131 Page 133

1 A Well, I don't know. Yeah, I don't know that. 1 aware once I got served with the lawsuit. 2 2 Q What's your understanding of how Mr. Richardson I mean, I don't know at what point when -- Is it okay if I 3 keep referring to John Clymer as Mike Clymer? 3 died? 4 Q Sure. 4 A I believe it was cardiac arrest, and then he 5 5 So I don't know if when Mike got there, if he also had some marijuana in his system from what I 6 had -- who he had talked to yet. I wasn't meeting him at 6 understand. 7 7 the door. And I don't even remember what part of the jail Q And what's your understanding of what caused 8 I was in by the time that Mike arrived. I could very well 8 him to have cardiac arrest? 9 have been back in the booking office and they called me I don't know that I know the reason for that 10 back to that floor. I don't know the answer to that. 10 Q Do you understand that it was a result of his 11 Q But wouldn't you expect somebody who is 11 interaction with the officers? 12 investigating the death of a young man in the jail to 12 MR. PREGON: Objection. 13 interview the people who were there when he died? 13 I don't know that that's the case 14 MR. PREGON: Objection. 14 15 A I think that as an investigator, the employees 15 Q So he just had a heart attack before the 16 of the sheriff's office, we are required to complete 16 officers ever got there, or do you know? 17 thorough and complete reports. If Detective Clymer feels 17 A Well, that might very well have been what was 18 it's necessary during -- it comes to his knowledge during 18 potentially occurring, that unknown medical. I don't 19 19 that investigation, he's there, that he needs to talk to 20 us individually to gather more information, he will do so 20 Q So if the unknown medical was he had a heart 21 and would have done so. Also, looking at reports is 21 attack, and that's why you guys were responding, you 22 another means for him to conduct his investigation, 22 restrained Mr. Richardson in the moments after he suffered 23 because we're taught to do complete, thorough reports. 23 a heart attack? 2.4 BY MR. DICELLO: 24 A Well, in the report, what's found in that Page 134 Page 136 1 Q And in the reports, there are a lot of 1 report is going to be the after effect of what's known 2 statements from inmates that say people had their knee on 2 after. We don't know at that time. And we're -- It's a 3 3 his back, people were -- you saw those statements, too; rapidly evolving, and making a lot of -- hoping, you know, 4 4 that you're doing -- you're making the right -- it's -right? 5 5 A I did. And it would be no different than I making the right decisions as far as this needs to be the 6 6 next step, let's do this, let's do that. guess what you asked me about us being biased towards our 7 7 own. I guess I could sit there and say that those inmates Again, in our case, we didn't know he was 8 8 could potentially be biased towards a fellow inmate, or it having a heart attack. We didn't know what was going on. 9 9 could be perception from their point of view from where And that's why we assessed the situation that we did. 1.0 Whether anybody agrees that we did it the right way or 10 they were at, also. 11 11 Q Absolutely. How did you learn about the cause not, that was the decision we made to continually monitor 12 of death? 12 him and try to make that determination. That 13 13 A I don't know if it was until I got served. determination had -- unless he had said something to us, 14 14 Q With this complaint? physically been able to say, "Hey, I'm having chest pains" 15 15 A Yeah. I don't know if I -- I don't know if I or something like that, I think that would give us a good 16 16 knew before then or not. I don't know if I knew the indication that, hey, this guy might be having a heart results of the risk management, which wouldn't be anything 17 attack. But again, that wasn't known to us at that time. 17 18 18 We were trying to figure it out. crazy if I did. But I don't know that I did. I may have 19 19 known the results of the risk management once it was Q And why weren't you able to figure it out? 20 Those tools just aren't -- Those tools aren't 20 completely done with the coroners. Because nothing is 21 available. That requires -- I mean, going just back to 21 finalized, really, until they got everything done with the 2.2 fire days, putting people on leads, things like that, that 22 coroner and he has his official report. I wouldn't ever 23 wasn't -- again, those tools weren't available to us. 23 see a copy of that. But I don't know if I ever heard, 2.4 hey, it ended up being this or that. But I definitely was 24 Q I guess what I'm getting at is if he was having Page 135 Page 137

a heart attack while he was on the ground and handcuffed, 1 they want about that. But as far as you understand the 2 2 why didn't you get him to the hospital? policies and procedures, not what's written down, but 3 3 A Well, that may have been very well the next what's actually happening practically speaking, the way 4 4 step that we got to. Again, we were dealing with what we things are done around the jail, those kind of policies 5 5 knew at the time. And the progress was, again, checking and procedures, those were all followed as far as you 6 his airway, maintaining that, keeping things, you know, 6 understand on May 19th, 2012 by everybody involved; 7 the assessment process going on with the oxygen, the correct? 8 8 putting him on his side, to getting him at what point MR. PREGON: Objection. 9 whether -- what the medicine was, again, I don't know what 9 A Yeah. Maybe you threw me off, though. What do 10 the medicine was, to what that was going to do based on 10 you mean by "the way things are done around the jail"? 11 BY MR. DICELLO: 11 what they felt was the necessity of doing that medicine 12 before transitioning him to that restraint chair that we 12 Q It's one thing to write something down on a 13 13 piece of paper and say, this is the policy. As you know, 14 If we had got him down into the restraint chair 14 it's another thing everyday what happens inside the jail. 15 and then his demeanor changed to that full arrest where we 15 So I'm not focused on what's written down in the books were doing CPR, then we would have had to transition him anymore. I'm focusing now on the way you understood 16 16 17 17 things are supposed to be done and are done at the jail. out of the restraint chair and onto the floor and done 18 18 what we needed to do. Had he gone to medical and then All those policies and procedures, as you understood them, 19 19 gone into some kind of cardiac arrest, then transport to were followed on May 19th, 2012 by everyone? 20 20 the hospital, had it got to that point, would have been A Yeah. All the policies and procedures that I 21 that process. We just never made it to that process. 21 observed and witnessed, and the interactions with 22 22 Q We'll let the forensic pathologist explain to personnel, those written policies and procedures and 23 the jury how Mr. Richardson died. But if it's going to be 23 everything that we were taught unwritten through training, the defense in this case, which I've heard, that he died 2.4 2.4 whether it be CPR and what have you, everything was Page 138 Page 140 1 from a heart attack, you agree that he needed emergency 1 followed to the letter of the law as far as what I saw. 2 medical care as soon as possible; right? 2 Q In your position as a sergeant, I know you're 3 3 MR. PREGON: Objection. not responsible for training, I mean, there's a whole 4 4 separate division that does that; correct? A And he was getting that with not only the 5 training that we have, nurses, medics, and then 5 A That's correct. 6 6 immediately calling and requesting assistance of the Q But as somebody who is responsible for 7 paramedics from the Dayton Fire Department, and having a 7 supervising other corrections officers, do you have to 8 8 doctor present on the scene. So he -- That's why we staff have some understanding of what the training is that 9 9 that facility the way that we do. they're supposed to have? 10 BY MR. DICELLO: 10 A Yeah. I mean, I'm going through the same 11 Q Last couple of questions here. I presume, 11 training. So yeah, I mean, I have a fairly accurate of 12 because I've asked everybody else, that no one was 12 what's going on, because I'm going through the same 13 disciplined as a result of this; correct? 13 training that they are, as far as the deputies go. 14 A Not that I'm aware of. 14 Q So the sheriff himself or the sheriff's office 15 Q Nobody underwent additional training as a 15 itself, you know, kind of the same way, different way to result his death; correct? 16 say the same thing, do you understand that the sheriff's 16 17 17 A No, we had just -- again, I was present during office has to instruct and train corrections officers to 18 a little bit of Deputy Wittman's testimony -- the 18 restrain detainees in a way that does not pose an 19 continual training that we do yearly, yes, sir. 19 unnecessary risk of death? 20 Q No one had to undergo any type of remedial 20 A Yes 21 training as a result of this; correct? 21 Q And do you understand that the sheriff's office 22 A Not that I'm aware of. 22 has to instruct and train corrections officers on the 23 23 Q And I know we've looked at some policies, and dangers of positional asphyxia? 24 the language is what it is and the jury can decide what 24 A Yes Page 139 Page 141

1	Q Same question. Does the sheriff's office have	1 2	December 3, 2015
2	to instruct and train its corrections officers on what	3	Dear Mr. Lewis, You have chosen to read and sign your transcript.
3	positional asphyxia is?		Please do not mark on the transcript. Any
4	A Yes.	4	corrections/changes you may desire to make in your testimony should be typewritten or printed on the errata
5	Q Does the sheriff's office have to instruct and	5	sheet at the end of testimony, giving the page number,
6	train corrections officers about who is at risk of dying	6	line number and desired correction/change. After you have read the transcript, sign your name on the correction
7	from positional asphyxia?		sheet and where indicated at the close of testimony before
8	A Yes.	7 8	a notary public.
9	MR. PREGON: Objection.	8	The Rules of Civil Procedure allow thirty days for you to read and sign. Please return the signature page
10	A All the risk factors that are involved, yes.	9	and errata sheet to Whitney Layne, 6723 Cooperstone Drive,
11	BY MR. DICELLO:	10	Dublin, Ohio 43017 within that time. Failure to do so in the allotted time will result in your transcript being
12	Q And does the sheriff's office have to instruct		used as though read and signed by you.
13	and train its officers on measures that must be taken to	11 12	Cincoroly
14	minimize the risk of death due to positional asphyxia?	12	Sincerely,
15	MR. PREGON: Objection.	13	Whitney Layne
16	A I'm sure that's in the training.	14	Professional Reporter
17	BY MR. DICELLO:		Cc:
18	Q All right. I'm done. Thanks for your	15	Nick DiCello Carrie Starts
19	patience.	16	Jamey Pregon
20	A You're welcome.	17 18	
21	MR. PREGON: We'll read.	19	
22		20	
23	(Signature not waived.)	21 22	
24		23	
		24	
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1	And thereuses the deposition was concluded at	1	State of
1 2	And, thereupon, the deposition was concluded at	2	State of County of
3	5:48 p.m.	3	I, BRIAN LEWIS, do hereby certify that I have
4		4	read the foregoing transcript of my deposition given on
5		5	
6		'	November 17, 2015; that together with the correction page
U		6	attached harata nating changes in form or substance if
7		6	attached hereto noting changes in form or substance, if
7		7	attached hereto noting changes in form or substance, if any, it is true and correct.
8		7 8	any, it is true and correct.
8 9		7 8 9	any, it is true and correct. BRIAN LEWIS
8 9 10		7 8 9 10	any, it is true and correct. BRIAN LEWIS I do hereby certify that the foregoing transcript
8 9 10 11		7 8 9 10 11	any, it is true and correct. BRIAN LEWIS I do hereby certify that the foregoing transcript of the deposition of BRIAN LEWIS was submitted to the
8 9 10 11 12		7 8 9 10 11 12	any, it is true and correct. BRIAN LEWIS I do hereby certify that the foregoing transcript of the deposition of BRIAN LEWIS was submitted to the witness for reading and signing; that after he had stated
8 9 10 11 12 13		7 8 9 10 11 12 13	any, it is true and correct. BRIAN LEWIS I do hereby certify that the foregoing transcript of the deposition of BRIAN LEWIS was submitted to the witness for reading and signing; that after he had stated to the undersigned Notary Public that he had read and
8 9 10 11 12 13 14		7 8 9 10 11 12 13 14	any, it is true and correct. BRIAN LEWIS I do hereby certify that the foregoing transcript of the deposition of BRIAN LEWIS was submitted to the witness for reading and signing; that after he had stated to the undersigned Notary Public that he had read and examined his deposition, he signed the same in my presence
8 9 10 11 12 13 14 15		7 8 9 10 11 12 13 14 15	any, it is true and correct. BRIAN LEWIS I do hereby certify that the foregoing transcript of the deposition of BRIAN LEWIS was submitted to the witness for reading and signing; that after he had stated to the undersigned Notary Public that he had read and
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8 9 10 11 12 13 14 15 16		7 8 9 10 11 12 13 14 15 16	any, it is true and correct. BRIAN LEWIS I do hereby certify that the foregoing transcript of the deposition of BRIAN LEWIS was submitted to the witness for reading and signing; that after he had stated to the undersigned Notary Public that he had read and examined his deposition, he signed the same in my presence on the day of, 2015. Notary Public
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8 9 10 11 12 13 14 15 16 17 18		7 8 9 10 11 12 13 14 15 16 17 18	any, it is true and correct. BRIAN LEWIS I do hereby certify that the foregoing transcript of the deposition of BRIAN LEWIS was submitted to the witness for reading and signing; that after he had stated to the undersigned Notary Public that he had read and examined his deposition, he signed the same in my presence on the day of, 2015. Notary Public
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8 9 10 11 12 13 14 15 16 17 18 19 20 21		7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22	any, it is true and correct. BRIAN LEWIS I do hereby certify that the foregoing transcript of the deposition of BRIAN LEWIS was submitted to the witness for reading and signing; that after he had stated to the undersigned Notary Public that he had read and examined his deposition, he signed the same in my presence on the day of, 2015. Notary Public My Commission Expires on

	Page 145
1	State of
2	County of resigonery
3	I, BRIAN LEWIS, do hereby certify that I have
4	read the foregoing transcript of my deposition given on
5	November 17, 2015; that together with the correction page
6	attached hereto noting changes in form or substance, if
7	any, it is true and correct.
8	Sp. B. M. J # B23
9	BRIAN LEWIS
10	I do hereby certify that the foregoing transcript
11	of the deposition of BRIAN LEWIS was submitted to the
12	witness for reading and signing; that after he had stated
13	to the undersigned Notary Public that he had read and
14	examined his deposition, he signed the same in my presence
15	on the 4 day of <u>Jan.</u> , 2016.
16	Kooly K. Nubly
17	Notary Public
18	My Commission Expires on 12-10-17
19	
20	
21	
22	
23	
24	

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1	TO THE REPORTER:
2	I have read the entire transcript of my deposition taken
3	on the 171 day of Noveber, 2015, or the same has been
4	read to me. I request that the following changes be
5	entered upon the record for the reasons indicated.
6	
7	Page Line Correction and reason therefore
8	No Changes
9	
10	
11	
12	
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16	
17	
18	
19	
20	
21	
22	Date_ 1-4-2016 Signature Seft. B. 14 523
23	Date 1-4-2014 Signature 34" D. 74 7828
24	

Page 147 1 CERTIFICATE 2 State of Ohio 3 County of Franklin: 5 I, Whitney Layne, Notary Public in and for the State of Ohio, duly commissioned and qualified, certify 6 that the within named BRIAN LEWIS was by me duly sworn to 7 testify to the whole truth in the cause aforesaid; that 8 the testimony was taken down by me in stenotype in the 9 presence of said witness; afterwards transcribed upon a 10 11 computer; that the foregoing is a true and correct transcript of the testimony given by said witness taken at 12 13 the time and place in the foregoing caption specified. 14 15 IN WITNESS WHEREOF, I have set my hand and 16 affixed my seal of office at Dublin, Ohio, on this 3rd day 17 of Decemer, 2015. 18 19 Whitney Layne, Notary Public 20 In and for the State of Ohio 21 My Commission expires May 4, 2020 22 23 24

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